

# University of Minnesota

Schedule of Expenditures of Federal Awards and  
Schedule of Findings and Questioned Costs  
for the Year Ended June 30, 2015, and  
Independent Auditors' Reports

# UNIVERSITY OF MINNESOTA

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## **Independent Auditors' Report on Compliance for Each Major Federal Program; Report on Internal Control Over Compliance; and Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133**

Board of Regents  
University of Minnesota  
Minneapolis, Minnesota

### **Report on Compliance for Each Major Federal Program**

We have audited the University of Minnesota's (the "University") compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the University's major federal programs for the year ended June 30, 2015. The University's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

#### ***Management's Responsibility***

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

#### ***Auditor's Responsibility***

Our responsibility is to express an opinion on compliance for each of the University's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the University's compliance.

### **Opinion on Each Major Federal Program**

In our opinion, the University complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2015.

### **Report on Internal Control Over Compliance**

Management of the University is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the University's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance. Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

### **Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133**

We have audited the financial statements of the University as of and for the year ended June 30, 2015, and the related notes to the financial statements, which collectively comprise the University's basic financial statements. We issued our report thereon dated November 10, 2015, which contained an unmodified opinion on those financial statements (and which included an emphasis of matter paragraph related to the University's adoption of Governmental Accounting Standards Board Statement No. 68, *Accounting and Financial Reporting for Pensions*). Our audit was conducted for the purpose of forming an opinion on the financial statements that collectively comprise the basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures

applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

*Deloitte & Touche LLP*

November 24, 2015

# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2015

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
<b>CLUSTERS</b>					
<b>RESEARCH AND DEVELOPMENT CLUSTER</b>					
<b>Agency for International Development</b>					
Passthrough:					
CIMMYT Int'l Maize & Wheat Improvement	No Award Number	98			\$ 4,957
Purdue University	8000055865/206766 Amend 1	98			11,339
United States Pharmacopeial Convention	FAA-15-013	98			17,429
University of California, Davis	201400223-13	98			3,018
<b>Department of Agriculture</b>					
Direct					
		10			1,574,651
Passthrough:					
Land Stewardship Project	No Award Number	10			1
Leech Lake Band of Ojibwe	2015-NIFA-UMD	10			7,286
Michigan Technological University	1103027Z1 Mod 1	10			15,217
Michigan Technological University	1207008Z1 Mod 1	10			9,263
MN Department of Agriculture	A-83114 13255	10			58,333
MN Department of Agriculture	Contract No. 53918 Amend 1	10			2,650
National Honey Board	No Award Number	10			12,738
University of Wisconsin-Madison	529K115	10			13,357
University of Wisconsin-Madison	562K225	10			40,593
University of Wisconsin-Madison	576K251	10			15,445
<b>Department of Agriculture, Agricultural Marketing Service</b>					
Direct					
		10			36,024
Passthrough:					
MN Department of Agriculture	54790/3000005958	10			21,222
MN Department of Agriculture	57316 3(5)6698 amendment	10			39,518
MN Department of Agriculture	71850	10			37,649
MN Department of Agriculture	86196	10			27,122
MN Department of Agriculture	86199/13976	10			9,334
MN Department of Agriculture	86202	10			8,670
MN Department of Agriculture	86203/13978	10			11,226
MN Department of Agriculture	86765 14142	10			6,689
MN Department of Agriculture	Contract No. 38765 Amendment 1	10			4,750
MN Department of Agriculture	Contract No. 54787/P.O. #3000005957	10			34,303
<b>Department of Agriculture, Agricultural Research Service</b>					
Direct					
		10			1,936,774
<b>Department of Agriculture, Animal and Plant Health Inspection Service</b>					
Direct					
		10			148,641
Passthrough:					
MN Department of Agriculture	A-65105 3(5)8758 amendment	10			175
<b>Department of Agriculture, Economic Research Service</b>					
Direct					
		10			173,925
Passthrough:					
Cornell University	62140-9876 Amd #2	10			7,722
<b>Department of Agriculture, Foreign Agricultural Service</b>					
Direct					
		10			6,628
<b>Department of Agriculture, Forest Service</b>					
Direct					
		10			88,306
Passthrough:					
Virginia Tech	422139-19114	10			16,355
<b>Department of Agriculture, National Institute of Food and Agriculture</b>					
Direct					
		10			17,510,614
Passthrough:					
Colorado State University	G-57057-1	10			77,515
Cornell University	64094-9750 Amend 3	10			117,134
Cornell University	64188-9740 Amend 4	10			8,322
Cornell University	72159-10314/2014-67015-22040	10			20,749
Intelligent Optical Systems, Inc	IOS #3226	10			19,936
Iowa State University	416-17-01C	10			290,060

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2015

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Iowa State University	416-23-24B/PO IL 85822-23	10			\$ 140,170
Iowa State University	416-40-25A	10			56,010
Iowa State University	416-40-63G amd 4	10			234,270
Iowa State University	416-40-93B/PO I3-96825-23	10			25,543
Iowa State University	416-41-07A	10			23,958
Iowa State University	416-41-39A	10			8,750
Kansas State University	S12159	10			25,475
Michigan State University	RC100056UM Amend 4	10			81,169
Michigan State University	RC101676UM Amend 3	10			38,258
Michigan State University	RC103168UMN	10			100,537
Michigan State University	RC103522UMN	10			108,572
Michigan State University	RC104283B	10			56,141
Michigan State University	RC104285H Amd 1	10			25,958
Mississippi State University	080500.330345.01 Amendment No. 1	10			18,650
North Carolina State University	2011-0056-02	10			193,800
North Dakota State University	FAR0018591-1	10			18,331
Oregon State University	C0486A-A	10			9,295
Sun Grant	3TB497	10			68,514
Temple University	PO139259/Sub No240245	10			71,501
Texas A&M University	06-S130684/Prime 2013-68004-20361	10			86,210
The University of Arizona	176324/2009-41520-05369	10			47,999
The University of Arizona	70938/2012-68003-19812 prime	10			127,559
The University of Georgia	RE677-492/4945386	10			4,793
Univ of Illinois at Urbana-Champaign	2014-07306-05	10			1,067
University of California, Davis	201015718-16	10			913,850
University of Connecticut	Agreement #7068, FRS #525804, PO #4908	10			2,258
University of Florida	Project #00085425 UF09223	10			109
University of Maryland	Z552803 Amendment D	10			91,952
University of Nebraska-Lincoln	25-6324-0119-005	10			49,912
University of Wisconsin-Madison	350K884 Amend 4	10			61,693
University of Wisconsin-Madison	419K930 Amendment 1	10			746
University of Wisconsin-Madison	428K094 Amendment 02	10			56,148
University of Wisconsin-Madison	499K236 Amendment 01	10			9,134
University of Wisconsin-Madison	499K240 Amendment 01	10			30,005
University of Wisconsin-Madison	502K740 Amd No. 1 and No. 2	10			33,046
University of Wisconsin-Madison	576K645	10			22,247
University of Wisconsin-Madison	613K664	10			6,175
Utah State University	13040101/2013-67015-21241	10			84,634
Virginia Polytechnic Inst & State Univ	422183-19114/422262-19114 Amend 6	10			424
Washington State University	115808 G002979	10			189,396
<b>Department of Agriculture, Natural Resources Conservation Service</b>					
Direct		10			32,526
Passthrough:					
The Xerces Society, Inc	No Award Number	10			20,679
<b>Department of Agriculture, Rural Business-Cooperative Service</b>					
Passthrough:					
Iowa State University	412-30-14A	10			13,884
Iowa State University	412-30-15	10			28,790
<b>Department of Agriculture, The Office of the Chief Economist</b>					
Direct		10			17,447
<b>Department of Commerce</b>					
Passthrough:					
Northeast-Midwest Institute	Subcontract #GSIUMD09-10 Am. #5	11			125,343
<b>Department of Commerce, National Institute of Standards and Technology (NIST)</b>					
Direct		11			108,589
<b>Department of Commerce, National Oceanic and Atmospheric Administration (NOAA)</b>					
Direct		11			235,455
Passthrough:					
Great Lakes Observing System	Project # IOOS/DATA-01	11			29,601
Minnesota's Lake Superior Coastal Prog	81482/3000062417	11			7,269

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**UNIVERSITY OF MINNESOTA**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2015**

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Minnesota's Lake Superior Coastal Prog	88814/3000070776	11			\$ 607
Minnesota's Lake Superior Coastal Prog	Contract No. 51058/P.O. #3000025443	11			24,415
Minnesota's Lake Superior Coastal Prog	Grant Contract 51056 Amend #1	11			18,078
MN Department of Natural Resources	14-306A-01 - 88200/3000070063	11			3,963
MN Department of Natural Resources	CoastalAgreem#13-306-08/3000046285	11			6,338
MN Department of Natural Resources	CoastalAgreem. 13-306-15/3000046319	11			12,747
MN Department of Natural Resources	CoastalAgreem. 13-306-17/3000046326	11			27,688
MN Department of Natural Resources	CoastalAgreem. 13-306-19/3000046356	11			20,194
University of Michigan	3002475295	11			172,490
<b>Department of Defense</b>					
Direct		12			1,511,383
Passthrough:					
Boston Applied Technologies, Inc	PO #2499A	12			85,586
Freytag & Co LLC	No Award Number	12			(119,878)
General Dynamics	14-SC-0104 mod 1	12			175,934
HDR Engineering, Inc	191717-164 am 2	12			199,676
High Performance Tech Inc	14463-PETTT-UMN	12			107,937
Honeywell Inc	PO 4205812813	12			42,238
HRL Laboratories, LLC	13006-302965-DS	12			500,139
MN Veterans Medical Research & Educ Fdn	DOD2013-12	12			2,365
Optomec, Inc	W.O. 01416/2	12			91,165
Orbis Biosciences, Inc	No Award Number	12			56,602
Physical Optics Corporation	4899-UM USDOD W911NF-14-P-0040	12			6,180
Rockwell Collins, Inc	4504789784	12			176,229
Smart Information Flow Tech	FUZZB-UMN-01	12			98,013
Technology Service Corporation	TSC-1076-40066	12			19,988
<b>Department of Defense, Advanced Research Projects Agency</b>					
Direct		12			1,178,406
Passthrough:					
California Institute of Technology	102-1094102	12			56,489
IBM Corporation	4912038362	12			69,420
Northwestern University	HR0011-13-2-0002/ SP0020251-PROJ0005715	12			93,000
Univ of Illinois at Urbana-Champaign	2012-05660-03/A0128	12			56,999
University of Rochester	PO 415794-G/HR001-10-C-0111	12			150,000
<b>Department of Defense, Department of the Air Force, Materiel Command</b>					
Direct		12			4,017,540
Passthrough:					
California Institute of Technology	52-1093214	12			318,697
Carnegie Mellon University	1150119-294738	12			230,026
Rice University	R18711 - Sub#FA9550-15-1-0022	12			60,756
The Ohio State University	PO# RF01358604 Project# 60041477	12			146,045
Univ of North Carolina at Charlotte	20100669-03-UMN	12			157,924
University of Pennsylvania	556016	12			302,858
University of Southampton	514541101	12			143,251
University of Washington	762974	12			61,751
<b>Department of Defense, Department of the Navy, Office of the Chief of Naval Research</b>					
Direct		12			3,516,738
Passthrough:					
Massachusetts Institute of Technology	5710003352	12			124,035
University of California, Berkeley	00008157 Amend 03	12			110,226
University of California, Los Angeles	1015 G QA113/ N00014-12-1-0883	12			70,945
University of Michigan	3002460613	12			202,649
<b>Department of Defense, National Security Agency</b>					
Direct		12			86,518
<b>Department of Defense, Office of the Secretary of Defense</b>					
Direct		12			1,608,046
Passthrough:					
Oklahoma State University	AA-5-33690	12			101,592
<b>Department of Defense, U.S. Army Materiel Command</b>					
Direct		12			2,490,202

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**UNIVERSITY OF MINNESOTA**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2015**

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Passthrough:					
3M Co	PO #USMMMR74D6	12			\$ 165,636
BBN Technologies Corp	0W911NF-09-2-0053	12			87,866
Cornell University	66220-9899	12			64,208
Intel Corporation	CW1987326	12			48,670
Rice University	R17833 am 4	12			435,631
<b>Department of Defense, U.S. Army Medical Command</b>					
Direct		12			5,181,355
Passthrough:					
Albert Einstein College of Medicine	PO#0097590-AE#321114-W81XWH-13-1-0180	12			79,015
CHILDREN'S RESEARCH INSTITUTE	Agreement 01-W81XWH-12-1-0417	12			236
Holland Bloorview Kids Rehab Hospital	No Award Number	12			57,336
Minneapolis Medical Research Foundation	4-22-2013 FIXIT Mod #1	12			498
Minneapolis Medical Research Foundation	METRC2 - W81XWH1020091 Mod 4	12			68,278
Rutgers, The State Univ of New Jersey	Org code10578/PO#S156163/Acct #4-29429	12			5,390
University of California, Irvine	2012-2892	12			3,708
University of Washington	Subaward No. 757348	12			149,315
<b>Department of Education</b>					
Passthrough:					
American Institutes for Research	01241-03175/ED-IES-12-C-004	84			16,951
<b>Department of Education, Institute of Education Sciences</b>					
Direct		84			2,652,551
Passthrough:					
KU Center for Research, Inc	FY2009-005-M4	84			4,031
Mathematica Policy Research, Inc	06876S01681	84			43,050
The Univ of N Carolina at Chapel Hill	5-39254	84			101,928
The University of Kansas	FY2013-003	84			77,661
University of Oregon	224520A	84			127,674
<b>Department of Education, Office of Elementary and Secondary Education</b>					
Passthrough:					
Intermediate District #287	S342747, S343084, S343403	84			49,807
<b>Department of Education, Office of Innovation and Improvement</b>					
Direct		84			5,174,737
<b>Department of Education, Office of Postsecondary Education</b>					
Direct		84			322,820
<b>Department of Education, Office of Special Education and Rehabilitative Services</b>					
Direct		84			1,066,087
Passthrough:					
Advanced Medical Electronics Corporation	AME14-NIDRREhancedConv-14/H133S130064	84			12,839
Koronis Biomedical Technologies Corp	H133S110050	84			12,449
Pennsylvania State University	4568-UM-USDE-0030	84			48,696
Univ of Illinois Chicago	H133B140046	84			45,160
<b>Department of Energy</b>					
Direct		81			14,906,236
Direct		81	ARRA		(66)
Passthrough:					
Aerosol Dynamics Inc	3334 am 1	81			23,444
California Institute of Technology	52-1095145	81			216,719
Colorado State University	G-22033-1 am 1	81			38,057
Columbia University	G04609/GG009328/G06037	81			139,310
Consortium for Plant Biotechno	GO12026-304/DE-FG36-02GO12026-008 Amd G	81			1,839
Consortium for Plant Biotechno	GO12026-319/DE-FG36-02GO12026-008 Amd H	81			168
Donald Danforth Plant Science Center	23009-MN	81			166,344
Eaton Corporation	PO# 0011-39255	81			172,000
Laurentian Energy Authority, LLC	Amendment 3	81			77,782
MN Department of Commerce	90130 - UofM (MNTAP Sub DE6888)- G	81			11,159
MOgene LC	DE-AR0000457 REMOTE	81			30,809
MSP Corporation	DE-SC0013302	81			13,775
Princeton University	00001701 Mod. 6	81			66,681
Princeton University	S012824-F	81			19,122

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**UNIVERSITY OF MINNESOTA**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2015**

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
South Dakota State University	3TG155 Amend. 3	81			\$ 916
South Dakota State University	3TM162	81			25,230
South Dakota State University	3TS162	81			161,636
Stanford University	US DOE Subaward 60544213-107908	81			115,105
The Research Foundation of SUNY	11-30/DE-FE0007190	81			5,941
The University of Texas at Austin	UTA15-000561	81			5,046
Univ of Texas	UTA12-000915	81			158,960
University of California, Berkeley	8631	81			30,048
University of Delaware	21114 Amd. 4	81	ARRA		24,942
University of Delaware	37791/DE-SC0001004	81			106,492
University of Michigan	3001346400 Amd. 6	81			373,606
University of Notre Dame	209008, 202373 Amd. 7	81	ARRA		125,168
Washington State University	118717-G003369	81			27,767
Yale University	00018874C08E10003/DE-FG02-08ER46482	81			33,896
<b>Department of Energy, National Nuclear Security Administration</b>					
Direct		81			451,987
<b>Department of Energy, Office of Energy Efficiency and Renewable Energy</b>					
Direct		81			398,432
<b>Department of Energy, Office of Science</b>					
Direct		81			3,409,878
Passthrough:					
Battelle	00102037 am 1	81			102,752
Battelle	4000115217	81			46,292
South Dakota State University	3TS676	81			112,696
<b>Department of Health and Human Services</b>					
Direct		93			473,177
Passthrough:					
RAND Corporation	Subcontract 9920150029	93			21,799
Research Triangle Institute	Task Order 007 Subcontract 3-312-0212704	93			37,886
Science Applications International Corp	Subcontract S09-214/29XS214	93			(6,787)
The University of Alabama at Birmingham	000438814 TO3 SC001	93			56,097
University of South Florida	PO #0000161342 Amendment #6	93			64,510
University of Washington	727119 Am 3	93			157,961
<b>Department of Health and Human Services, Administration for Children and Families</b>					
Direct		93			93,525
Passthrough:					
Child Trends, Inc	90YE0132-03 Modification #002 and #003	93			8,601
MN Department of Human Services	GRK% 30794 Am 3	93			11,485
<b>Department of Health and Human Services, Administration for Community Living</b>					
Passthrough:					
Vanderbilt University	VUMC 42027 Amend 2 NCE	93			27,438
<b>Department of Health and Human Services, Agency for Healthcare Research and Quality</b>					
Direct		93			2,981,867
Passthrough:					
Indiana University	IN-4688351-UM/PO Number 912940 Am 3	93			18,951
Indiana University	PO#1238570	93			53,753
Medica Research Institute	2013-67	93			1,397
Medica Research Institute	UNIMN-0064	93			66,069
Minneapolis Medical Research Foundation	R18HS022065	93			7,959
Purdue University	4102-67281	93			158,281
University of Michigan	3003309463	93			4,013
<b>Department of Health and Human Services, Centers for Disease Control and Prevention</b>					
Direct		93			2,997,590
Passthrough:					
Battelle	370712 PO US001-0000370712	93			(7,112)
Big Brothers Big Sisters-Greater TC	No Award Number	93			32,448
MN Department of Health	1093839	93			4,952
MN Department of Health	55946	93			44,917
MN Department of Health	91052	93			675
MN Department of Health	No Award Number	93			10,953

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**UNIVERSITY OF MINNESOTA**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2015**

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Simmerson, LLC	SIMmersion LLC	93			\$ 10,785
The National Fragile X Foundation	No Award Number	93			1,058
University of Pennsylvania	565259	93			9,185
<b>Department of Health and Human Services, Centers for Medicare and Medicaid Services</b>					
Passthrough:					
Mayo Clinic Rochester	1C1CMS330964-01-00	93			15,238
Mayo Clinic Rochester	1C1CMS330964-03-00	93			36,379
Minnesota Hospital Association	HEN LEAPT	93			28,628
MN Department of Human Services	64294 Amend 2	93			52,903
MN Department of Human Services	PTL%70316	93			266,531
<b>Department of Health and Human Services, Food and Drug Administration</b>					
Passthrough:					
Nat'l Capital Consortium Ped Device Innov	PID3000-1895	93			2,422
Nat'l Inst for Pharm Technology & Educ	NIPTE-U01-MN-2014-001 AMD 001	93			62,643
Nat'l Inst for Pharm Technology & Educ	NIPTE-U01-MN-2015-001	93			62,289
Oregon Health & Science University	1002563UMINN	93			5,184
<b>Department of Health and Human Services, Health Resources and Services Administration</b>					
Direct		93			108,608
Passthrough:					
Minneapolis Medical Research Foundation	MMRF#07315	93			20,308
MN Department of Health	No Award Number	93			286,323
National Opinion Research Center	6673-03-Univ. of Minnesota	93			71,883
Northeast MN Area Health Education Ctr	UMN006	93			6,258
University of Illinois at Chicago	2010-04296-06-04	93			198,733
<b>Department of Health and Human Services, National Institutes of Health</b>					
Direct		93			250,948,174
Direct		93	ARRA		(217)
Passthrough:					
Advanced Medical Electronics Corporation	AME13-GrapheneSensor-19	93			2,365
Advanced Medical Electronics Corporation	AME14-GrapheneSensor-15	93			36,001
Advanced Medical Electronics Corporation	AME14-MicArray-07/DC011468-02	93			30,344
Advanced MRI Technologies	1R44NS073417 Amend 4	93			46,532
Adventium Labs	1025-002-001-010	93			112,401
Albert Einstein College of Medicine	310268. Amend 5	93			1,215
Allina Health System	CCTRN5/UM1HL087394	93			85,224
Allina Health System	No Award Number	93			62,685
Altor Bioscience Corporation	UMN-ALT-803-02-13 MM Trial	93			8,304
American College of Radiology	ACRIN 6698 Institution #4246	93			32,429
Audiology Inc	R33DC011769	93			15,608
Beckman Res Inst of the City of Hope	22390.914991.6688	93			4,858
Beth Israel Deaconess Medical Center	Subaward No. 01026554-COREB	93			396,902
Biostrategies LC	Grant 1R43NS086326-01	93			5,106
Black Hills Ctr For Amer Indian Health	3P50CA148110-05S1	93			44,102
Boston College	5100711-5, Amend 1	93			189,393
Boston University	BUMC #4500001119/AG018037-14	93			22,251
Boston University Medical Campus	Activity# 0294301 PO# 787779 Amd #1	93			4,837
Brigham and Women's Hospital	105963 AMD4	93			17,066
Brigham and Women's Hospital	CALGB	93			18,235
Brigham and Women's Hospital	PS#105087/CTOT-11	93			9,811
Brigham and Women's Hospital	Subaward #111014/U01AG048270	93			20,000
Brown University	0000199 Amd 4 (PO# P252628)	93			1,099
California Pacific Medical Center	28021005-S174 amd 1	93			23,501
California Pacific Medical Center	2803209-S099 Amendment 5	93			131,916
California Pacific Medical Center	2803232-S150 amd 3	93			39,374
Carnegie Mellon University	1090394-319875	93			4,221
Carolinas Healthcare System	1R01DE023375-01A1	93			10,028
Carolinas Healthcare System	1U01DE022909 amend # 3	93			230,672
Carolinas Healthcare System	2000203699	93			39,604
Case Western Reserve University	RESS07525/DK094157/RES508318RES509263	93			143,325
Case Western Reserve University	RESS08612 Amend 2	93			10,365

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Children's Hospital Boston	PO# 0000588719 - Amendment 7	93			\$ 181,151
Children's Hospital Boston	PO#0000620691	93			3,127
Children's Hospital Los Angeles	P2013-0010 Amendment 4	93			197,629
Children's Hospitals & Clinics of MN	No Award Number	93			22,797
Children's Research Institute	CINRG Study Specific Agreement 01 Amend2	93			3,294
Children's Research Institute	CINRG Study Specific Agreement 04 Mod 2	93			4,835
Cincinnati Children's Hospital Med Ctr	109363/R24HL105333	93			3,434
Cincinnati Children's Hospital Med Ctr	Subaward 134433	93			202,878
Cincinnati Children's Hospital Med Ctr	Subaward No. 130466 PO #3100271635	93			15,083
Cleveland Medical Devices, Inc	No Award Number	93			21,673
Connecticut Children's Medical Center	Agreement #12-179296-35-01	93			1,064
Cornell University	14040540 AMD #4	93			210,345
Dartmouth College	1558	93			10,410
Dartmouth College	Subaward No. 895	93			33,940
Discovery Genomics Inc	D228017 Amend 3	93			15,424
Dnatrek, LLC	R41ES025070	93			13,302
Duke University	2032595	93			9,183
Duke University	5U19AI056363-10REV 2032964	93			7,104
Duke University	HHSN-2752010000031 Task Order 25/Amend 1	93			2,466
E. I. du Pont de Nemours and Company	Account # 30-03671-001 Amd 4	93			2,471
Eastern Coop Oncology Group	MB2O36BV-00 Amendment #7	93			31,000
Emory University	Subaward T263357	93			147,267
Emory University	T268906 AMD No. 5	93			387,097
Emory University	T300501	93			9,830
FHI 360 Disaster Relief Fund	5466	93			14,078
Florida Atlantic Univ	RJ23/DSR#11-434(2)/Acct#180283	93			76,279
Florida Atlantic Univ	RJ44	93			45,203
Florida International University	800001054-02, Amend 4	93			26,914
Fluorescence Innovations, Inc	41275	93			31,575
Fox Chase Cancer Center	FCCE15057-05/PO# SQ1305629/PO#201020042	93			31,324
Fox Chase Cancer Center	HHSN26100002 (FCCE 18031-01)	93			137,585
Fred Hutchinson Cancer Research Center	0000773484/5U54CA163438/0000782578	93			11,442
Fred Hutchinson Cancer Research Center	0000773490/CA163438/0000782576	93			2,063
Fred Hutchinson Cancer Research Center	785108	93			(31,323)
Fred Hutchinson Cancer Research Center	806483	93			100,998
Fred Hutchinson Cancer Research Center	0000813861 Project 207806	93			516
Fred Hutchinson Cancer Research Center	0000813870 Project 207806	93			15,502
Fred Hutchinson Cancer Research Center	5R01CA118953-08/212968/828636-211014	93			16,464
Fred Hutchinson Cancer Research Center	754108-212018/212022-S4459/782575-212018	93			28,773
Fred Hutchinson Cancer Research Center	782577-212021/212022S4919/754111-212021/	93			6,339
Fred Hutchinson Cancer Research Center	subaward 0000753275/prev #730289	93			33,651
Genesegues, Inc	Subcontract S0001	93			680
Georgia Regents University	Subaward No. 25034-24 Amendment 1	93			4,859
Gynecologic Oncology Group	27469-013	93			54,595
Harvard Medical School	Subaward 152382.5087275.1108	93			335,625
Harvard University	116349-5077672/1R01AG048037	93			125,376
Harvard University	135955	93			189
Harvard University	151182-0002	93			14,878
Harvard University	152441.5079288.0003 Amend 1	93			29,427
Harvard University	PO 0000595083 - Amendment 1	93			54,867
Hauptman-Woodward Medical Research Inst	6200-3	93			9,927
Health Research, Inc	HRI Contract No. 29-01/55-7014-19 Am 4	93			(1,512)
HealthPartners Inst for Educ & Research	85285270-X1103700	93			49,210
HealthPartners Inst for Educ & Research	A/U 85285270 - X0909600	93			100,630
HealthPartners Inst for Educ & Research	A08-132/X0813200, MOD 5	93			10,806
HealthPartners Inst for Educ & Research	PO 85285270-X1109000 -- Mod 2	93			15,175
HealthPartners Inst for Educ & Research	Subaward X1317500-UMN	93			9,236
HealthPartners Inst for Educ & Research	X1301200UMN	93			27,326
HealthPartners Inst for Educ & Research	X1303300-UMN	93			6,683
Institut Pasteur	R01AI042361	93			199,646

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Iowa State University	430-46-05B Amendment 4	93			\$ 206,001
IRIS Media Inc	Project ID 68/ HD066896	93			54,964
Jaeb Center for Health Research	1U10EY020797-01A1 Site 112	93			7,887
Jaeb Center for Health Research	EY12358	93			(29,262)
Jaeb Center for Health Research	No Award Number	93			1,093
Jaeb Center for Health Research	U10EY14231 Protocol V	93			6,374
Johns Hopkins University	2000834334 Amd 8	93			123,676
Johns Hopkins University	2001110252/R01EB12547	93			24,031
Johns Hopkins University	2001184189 Amd. 4	93			19,922
Johns Hopkins University	2001660168 (5U01-CA164975-03) amd 3	93			72,289
Johns Hopkins University	2002206440	93			4,783
Johns Hopkins University	2002345502	93			4,185
Johns Hopkins University	DK083551	93			2,889
Johns Hopkins University	PO Number 2002098367	93			10,774
Johns Hopkins University	PO Number 2002098368	93			1,412
Johns Hopkins University	PO Number 2002108586	93			8,390
Johns Hopkins University	Subaward 2001374023, Amend 6	93			28,702
Joslin Diabetes Center, Inc	1987203-2 Amendment 3	93			219,648
Joslin Diabetes Center, Inc	1987203-8, Amend 3	93			355,369
Joslin Diabetes Center, Inc	Subaward # 1987203-4 Amendment 3	93			376,402
Kaiser Fdn Res Inst	115-9488-01/KR021096, Amend 4	93			328,332
Kaiser Permanente	115-9107-02 M1/ KR020815 AMD#4	93			70,790
Kansas State University	S15214	93			4,585
Koronis Biomedical Technologies Corp	1R41 TR000367-01A1	93			624
Koronis Biomedical Technologies Corp	KB114-NeurocogGame-11	93			5,000
KU Center for Research, Inc	FY2015-060	93			822
La Jolla Inst for Allergy & Immunology	Subaward No 27004-98-391	93			28,144
Leidos Biomedical Research, Inc	11XS245, MOD#9	93			10,920
Leidos Biomedical Research, Inc	12XS455/STO10/A02106ST MOD#4	93	ARRA		3,906
Leidos Biomedical Research, Inc	12XS455/STO2/A02098ST MOD3	93			(132)
Leidos Biomedical Research, Inc	12XS455/STO5/A02101ST MOD#4	93	ARRA		3,077
Leidos Biomedical Research, Inc	12XS455/STO7/A02103ST MOD#3	93	ARRA		544
Leidos Biomedical Research, Inc	12XS455/TO1/A64641 Mod #2	93			580
Leidos Biomedical Research, Inc	13XS134TO3	93			1,273,765
Leidos Biomedical Research, Inc	13XS134TO4	93			116,777
Leidos Biomedical Research, Inc	13XS134TO5	93			1,749,833
Leidos Biomedical Research, Inc	13XS134TO6	93			258,541
Leidos Biomedical Research, Inc	29XS128 TO23 MOD 3	93			4,457
Leidos Biomedical Research, Inc	29XS128, Order #08, A59101, MOD#13	93			472,480
Leidos Biomedical Research, Inc	Task Order #: 13XS134TO1 Mod 04	93			6,797,592
Leidos Biomedical Research, Inc	Task Order #: 13XS134TO2	93			292,881
Leidos Biomedical Research Inst	Subaward 20954-UMN Amendment 3	93			116,461
Lovelace Respiratory Research Inst	JK140889	93			19,252
Loyola University of Chicago	LU # 206610	93			15,967
Massachusetts General Hospital	Subaward No. 219591 Amend 2	93			15,874
Mayo Clinic Rochester	5P01HL076611-10	93			10,340
Mayo Clinic Rochester	5P50CA116201-09/PO No. 63774669	93			98,363
Mayo Clinic Rochester	5R01CA154517-04/PO #63696322	93			273,433
Mayo Clinic Rochester	5R01CA164241-04/PO #63716241	93			11,093
Mayo Clinic Rochester	5R01HL110539-04/PO #63691532	93			238,425
Mayo Clinic Rochester	5U01DK082843-07 Amend 6	93			168,364
Mayo Clinic Rochester	5UL1TR000135-08/ PO 63320465/63672488	93			6,232
Mayo Clinic Rochester	63202828	93			31
Mayo Clinic Rochester	63216555	93			31,306
Mayo Clinic Rochester	63425576	93			52,870
Mayo Clinic Rochester	63551920 Amd #2	93			9,526
Mayo Clinic Rochester	63618346/5R01CA168575-02	93			40,312
Mayo Clinic Rochester	63657529 AMD1	93			52,287
Mayo Clinic Rochester	63774980	93			22,023
Mayo Clinic Rochester	63795173	93			23,731

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Mayo Clinic Rochester	63997854/ 5R01GM103841-03	93			\$ 17,681
Mayo Clinic Rochester	PO #63657442	93			7,500
Mayo Clinic Rochester	PO #63806572/ 5U54CA153605-05	93			4,489
Mayo Clinic Rochester	PO 63070075/PO 63583055	93			117,381
Mayo Clinic Rochester	PO 63523526	93			75,600
Mayo Clinic Rochester	PO#64032571	93			213,831
Mayo Clinic Rochester	PO: 63691533/5DP1DA031340-05	93			226,526
Mayo Clinic Rochester	Subaward 5R01CA163803-02/PO#64073333	93			7,567
Medical College of Wisconsin	2	93			40,257
Medical College of Wisconsin	Subaward No. 2/Purchase Order 1502219	93			1,278
Medical University of South Carolina	MUSC09-144 Amd 5	93			402
Medical University of South Carolina	MUSC09-145 Amd. 4	93			195
Medical University of South Carolina	MUSC14-055	93			7,980
Memorial Sloan-Kettering Cancer Center	BD516125	93			16,195
Memorial Sloan-Kettering Cancer Center	PO# BD517087	93			188,790
Mercer University	720665	93			1,618
Michigan Public Health Institute	K-30209-116-504200	93			148,130
Michigan State University	RC100161 Amd. 6	93			159,263
Michigan State University	Subaward No. RC100246UMN Amd. 3	93			15,501
Minneapolis Heart Institute Foundation	5U01HL087318 Amd. 7	93			39,179
Minneapolis Medical Research Foundation	00042-01/5R01AG037551-05	93			10,882
Minneapolis Medical Research Foundation	07149-4	93			82,120
Minneapolis Medical Research Foundation	07292-1	93			167,791
Minneapolis Medical Research Foundation	07327-1	93			53,718
Minneapolis Medical Research Foundation	5R01AG037551-04	93			15,072
Minneapolis Medical Research Foundation	ACCORDION Mod #10	93			110,738
Minneapolis Medical Research Foundation	ACCORDION-Eye Mod 6	93			3,382
Minneapolis Medical Research Foundation	HHSN268201100027C Mod #11	93			38,972
Minneapolis Medical Research Foundation	MMRF/5R01AG037551-02	93			995
Minneapolis Medical Research Foundation	NIH/NICHDR21HD068203-01A1	93			3,038
Minneapolis Medical Research Foundation	STREAM Study - R01 AR64066	93			315
Minneapolis Medical Research Foundation	U01AG029824, Mod 4	93			(34,247)
Minnesota Coalition for Battered Women	MN Project Connect	93			33,651
MN Chapter, Academy of Pediatrics Fdn	No Award Number	93			21,145
Moai Technologies, LLC	1R43LM012085-01	93			25,322
Moai Technologies, LLC	No Award Number	93			35,530
Mount Sinai School of Medicine	0255-9034-4609 Amend 2	93			41,412
MSP Corporation	Subaward HD072739-Somani	93			29,454
National Jewish Health	20072207/2R01HL089897	93			13,971
National Marrow Donor Program	Protocol Rider #0901	93			40,287
Nationwide Children's Hospital	Subaward No. 952614	93			7,642
Nat'l Development & Research Inst, Inc	PO# 0048046 NDRI #626D	93			17,886
Nat'l Inst for Pharm Technology & Educ	NIPTE-SAIC-MN-2013-001 Amendment No. 1	93			1,779
NET Esolutions	NETE-Minn-OEP-2015	93			149,956
Neuro Devices, Inc	5R42TR000462-03 Mod 1	93			269,724
Neuro Devices, Inc	NIH Prime Award R41NS071979 Phase II	93			228,510
Neuro Nexus Technologies, Inc	Subaward 5R44NS060269-03	93			13,947
New England Research Institutes	THM Network Protocol Agreement	93			11,728
New England Research Institutes	TMH-MARS	93			21,601
New York University	10-01254; Proj #801860, Amend 4	93			8,707
New York University	F7454-05	93			11,368
New York University	S#10-01073/Site001409	93			15,609
Northeastern University	50016478050 Amd 5	93			45,299
Northwestern Health Sciences University	R25AT003582-07 AMD1	93			9,705
Northwestern University	60032978/HHSN275201200007I/Sp*20624	93			200,871
Northwestern University	60037126 UMN	93			58,004
Northwestern University	SP0019995-PROJ0005454 Am. 3	93			30,427
Northwestern University	Subaward 60036745 UMN	93			1,545
Park Nicollet Institute	1R21CA149934-01A1 AMD2	93			21,585
Park Nicollet Institute	TBS	93			75,280

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Penn State Milton S Hershey Medical Ctr	UMNDK082183 Amend 2	93			\$ 122,268
Pennsylvania State University	5126-UM-DHHS-7934	93			44,168
Purdue University	4102-55747	93			40,589
Recombinetics, Inc	1R43GM113525	93			9,361
Regenxbio Inc	1R41DK094538-01	93			47,992
Rehabilitation Institute of Chicago	R01NS053813 Amendment 2	93			11,794
Research Foundation for Mental Hygiene	93885 Amd. 4	93			29,414
Rush University Medical Center	1R21HL121861-01A1	93			32,240
Rush University Medical Center	5R01HL117804-02	93			19,299
Rutgers, The State Univ of New Jersey	Subaward No. 8133/Amendment 1	93			9,037
San Diego State University Foundation	53253L P1660 7810 211	93			30,400
San Diego State University Foundation	53311M P1650 7803 211 Amendment 5	93			130,503
Sanford Health	SR-2012-05 Am 05	93			12,036
Sanford Health	SR-2014-22	93			9,716
Sanford Health	SR-2015-12	93			78,355
Scripps Research Institute	PO 5-20593	93			42,594
Seattle Children's Hospital	Subaward No. 11045SUB Mod #3	93			20,794
St Jude Children's Research Hospital	111725020-7513060 amd # 5	93			20,045
St Jude Children's Research Hospital	111978021-7645838	93			226,848
St Jude Medical, Inc	111287200-7593263	93			6,324
St Luke's-Roosevelt Hospital Center	No Award Number	93			398
Stanford University	60373178-110835-G Am 2	93			15,397
State Univ of New York at Stony Brook	1104160/61730	93			44,916
State Univ of New York at Stony Brook	61730, Amend 3	93			8,506
Stewart Exposure Assessments, LLC	No Award Number	93			13,314
TDA Research, Inc	b 6882.RB.UMN.14.01	93			5,151
Texas A&M University	23-S132328, Amend 2	93			7,514
Texas Tech University	21F076-01	93			31,909
Texas Tech University	5R01AT007395-04	93			59,725
Texas Tech University	No Award Number	93			22,160
The Children's Hospital of Philadelphia	3208860613 Amd 5; PO#961180-RSUB	93			27,562
The Children's Hospital of Philadelphia	CMWA: FP00013744_SUB374_01/CCOP Per Case	93			(1,750)
The Children's Hospital of Philadelphia	FP00013560_SUB04_03 - Amendment 2	93			133,190
The Children's Hospital of Philadelphia	FP00013560_SUB63_01	93			15,023
The Children's Hospital of Philadelphia	FP00013560-SUB17-01	93			25,668
The Children's Hospital of Philadelphia	FP00013864-SUB26_01	93			3,750
The Children's Hospital of Philadelphia	FP00015221_SUB233_01	93			4,583
The Children's Hospital of Philadelphia	FP00015221_SUB51_01	93			9,799
The Children's Hospital of Philadelphia	NCTN SL: FP00015221_SUB350_01	93			14,810
The Children's Hospital of Philadelphia	NCTN SL:FP00015221_SUB333_01	93			14,691
The Children's Hospital of Philadelphia	PCR WO: FP00013013_SUB198_01	93			(18,796)
The Children's Hospital of Philadelphia	Phase 1 PCR WO: FP00013560_SUB27_01	93			4,000
The Children's Hospital of Philadelphia	PO #: 961388-RSUB	93			24,982
The Children's Hospital of Philadelphia	PO #:960780-RSUB.	93			(2,191)
The Children's Hospital of Philadelphia	PO #960760-RSUB/Ref#FP00013013-SUB270_02	93			(687)
The Children's Hospital of Philadelphia	PO#:961210-RSUB Subaward#:9500080215-XX	93			14,691
The Children's Hospital of Philadelphia	PO#:961337 -RSUB	93			34,703
The Children's Hospital of Philadelphia	WorkloadIntensity/FP00013012_SUB361_01	93			11,396
The EMMES Corporation	1UC4DK098086-01	93			14,403
The EMMES Corporation	Collaborative Islet Transplant Registry	93			31,271
The George Washington University	14-B03, Amend 1	93			226,148
The George Washington University	S-GRD1415-AC28/PRIME # U01-DK098246-03	93			429,139
The George Washington University	S-GRD1415-AC42	93			884,775
The George Washington University	S-MFM1415-JB01	93			6,796
The Henry M Jackson Foundation	812761/306880-1.00-63426	93			101,169
The Ohio State University	Sub No.: 60037145	93			55,225
The State University of New York Buffalo	R780456 amd 2	93			5,655
The Univ of N Carolina at Chapel Hill	5032634 Amendment 4	93			3,000
The Univ of N Carolina at Chapel Hill	5050125/HD055741	93			9,720
The Univ of N Carolina at Chapel Hill	5055171, Amend 1	93			444,702

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The Univ of N Carolina at Chapel Hill	5-30781 amd 6	93			\$ 16,204
The Univ of N Carolina at Chapel Hill	5-30986 Am 4	93			876
The Univ of N Carolina at Chapel Hill	5-31200 amd 6	93			4,203
The Univ of N Carolina at Chapel Hill	5-31275 amd 6	93			49,081
The Univ of N Carolina at Chapel Hill	5-31276 Amd. 9	93			113,108
The Univ of N Carolina at Chapel Hill	5-32772	93			37,738
The Univ of N Carolina at Chapel Hill	5-33532	93			181,112
The Univ of N Carolina at Chapel Hill	5-33724 AMD#1	93			35,691
The Univ of N Carolina at Chapel Hill	534376	93			18,118
The Univ of N Carolina at Chapel Hill	5-34593	93			8,000
The Univ of N Carolina at Chapel Hill	5-42353	93			20,000
The Univ of N Carolina at Chapel Hill	5-50148 amd 1	93			9,708
The Univ of N Carolina at Chapel Hill	5-50152	93			10,565
The Univ of N Carolina at Chapel Hill	5-50191	93			13,757
The Univ of N Carolina at Chapel Hill	5-50213	93			14,436
The Univ of N Carolina at Chapel Hill	5-56030	93			72,640
The Univ of N Carolina at Chapel Hill	Subaward no. 5031529 Amendment 4	93			216,215
The University of Alabama	000412838-015	93			100,949
The University of Alabama	000430850-004	93			284,570
The University of Alabama at Birmingham	000402758-001 Amend No. 8	93			728,205
The University of Alabama at Birmingham	000412838-014	93			141,352
The University of Alabama at Birmingham	000500517-001 Amend 2	93			27,802
The University of Alabama at Birmingham	0005013940-001, Amend 1	93			38,336
The University of Alabama at Birmingham	000504515-SP001-001	93			770,759
The University of Alabama at Birmingham	000505098-002/7U24MD006970-05 Amend 3	93			343,994
The University of Alabama at Birmingham	No Award Number	93			2,708
The University of Alabama at Birmingham	UAB#00508050-010	93			8,728
The University of Arizona	225777/R01AA20270	93			36,326
The University of Arizona	Y600266 Amd #3	93			141,737
The University of Iowa	PO #1000806507 Amd 05	93			64,741
The University of Iowa	PO 1001318669/Req ID No W000605090	93			216,291
The University of Iowa	PO 1001359710	93			95,440
The University of Iowa	Req ID W000605087/PO 1001321595	93			21,258
The University of Iowa	Req ID W000678164/PO 1001422088 Amend 6	93			123,563
The University of Iowa	Subaward PO 100111589 Amendment 03	93			3,023
The University of Iowa	W000524345, PO 1001218148 Amd 9	93			3,655
The University of Oklahoma	C1083502 (RV20130494-21)	93			15,000
The University of Toledo	N-2013-05	93			12,686
The University of Utah	10011075-02/U01TW006671/0000144722 Am 08	93			22,649
The University of Utah	10018282-02 Amd 3	93			150,440
The University of Utah	10022504-11, Amend 3	93			529
The University of Utah	Subaward 10024523-01 Amendment 3	93			31,127
The University of Vermont	22061SUB50475 Amend 18	93			80,275
The University of Vermont	26501SUB1245 AMD#3	93			130,120
The University of Vermont	SUB51767 UMN	93			51,354
Tissue Testing Technologies, LLC	No Award Number	93			3,210
Treatment Research Institute	UM027841.5 Amendment 7	93			198,394
Tufts Medical Center	5007383-SERV, Amend 2	93			31,494
Tufts Medical Center	5007630-SERV, Amend 3	93			4,910
Tufts University	HH4013/R01DK098606	93			25,049
U of TX Health Science Ctr at Houston	Subaward No. 0009443A	93			24,359
Univ of Memphis	5-40118/PO 119119 Amendment 2	93			159,753
Univ of Missouri	C00037413-1 AMD#2	93			225,476
Univ of Texas	00915291 (11111245/98015291)	93			9,123
University of Alaska Fairbanks	UAF 14-0075/PO FP42146 mod 2 & 3	93			69,196
University of California, San Diego	PO: 34179874-003	93			168,178
University of California, San Diego	Subaward No 50394948	93			34,534
University of California, Davis	201122073-01 Amendment 4	93			57,544
University of California, Davis	201224310-02	93			80,277
University of California, Davis	201400041-01 Amend 1	93			211,450

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FOR THE YEAR ENDED JUNE 30, 2015**

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
University of California, Irvine	2011-2707	93			\$ 11,730
University of California, Los Angeles	1350 G RC673	93			70,066
University of California, Los Angeles	1460GPA300/4-441407-RE-29387	93			857
University of California, Los Angeles	1581 G QA047, Amend 3	93			93,775
University of California, San Francisco	6755sc/U19AI096109 Amd. 7	93			397,941
University of California, San Francisco	7433 sc Amendment 3	93			41,679
University of California, San Francisco	7597sc AMD#1	93			114,107
University of California, San Francisco	8561sc	93			5,821
University of California, San Francisco	Agreement No. 107781	93			4,168
University of California, San Francisco	Subaward 6026SC, Amend 6	93			(13,982)
University of California, San Francisco	Subaward 8019sc Amendment 1	93			3,044
University of California, San Francisco	Subaward 8462/2U54AI082973-06	93			3,910
University of California, San Francisco	Subcontract # 6347sc Amend 5	93			4,247
University of California, Santa Barbara	KK1332 amd 2	93			430
University of Chicago	FP000348-8	93			11,434
University of Chicago	FP056317-C	93			12,333
University of Cincinnati	007038 Am 03/PO# L14-4500074630	93			17,141
University of Cincinnati	007038-009/PO#L15-4500082738	93			220,661
University of Colorado	FY13.264.001/Prime 5R01AI096966-03	93			161,229
University of Colorado	Subaward No. FY15.489.001 AMD2	93			199,469
University of Colorado Denver	PRF 790960	93			17,820
University of Colorado Denver	Subcontract No. FY14.592.001	93			48,008
University of Connecticut	UChc6-40916321-A1, Amend 1	93			19,892
University of Connecticut	UChc6-51155513 Amend 1	93			27,528
University of Florida	UFDSPO0010267	93			160,745
University of Illinois at Chicago	2010-06621/HHSN-276-2011-00005C prime	93			4,670
University of Kansas Medical Center	QB864381 PO#1000841585	93			80,605
University of Kansas Medical Center	QC858380 PO # RINST-1000149878	93			(235)
University of Kansas Medical Center	QT864910	93			48,679
University of Kentucky	3048108539-12-502	93			13,689
University of Louisville	Sub #131349z01A	93			4,927
University of Maryland	9412-Z0519002	93			4,588
University of Maryland	SR00002587/Subaward No. 101330A	93			19,154
University of Maryland	Z033301 Amd. E	93			172,512
University of Maryland	Z195701 - Mod: H	93			620,904
University of Maryland, Baltimore	PO#SR00003511/ESURF11247	93			7,000
University of Maryland, Baltimore	PO#SR00003512/ESURF11245	93			7,000
University of Massachusetts	14 008049 A01	93			69,754
University of Massachusetts	WA00299827/RFS201515	93			54,050
University of Miami	661252	93			26,251
University of Miami	664439/FAIN P30AI073961	93			17,052
University of Miami	66949L/R01GM104975-03	93			250,409
University of Miami	66971E Amendment 2	93			3,649
University of Miami	AB43024	93			1,752
University of Michigan	3000894033 Amd. 6	93			189,648
University of Michigan	3001109113 AMD No. 8	93			62,881
University of Michigan	3001942715 Amd 6	93			1,044
University of Michigan	3002751553, Amend 2	93			5,038
University of Michigan	3002871551	93			51,758
University of Michigan	3002948034 Amend 1	93			7,489
University of Michigan	3003113674/R01HL094311	93			31,737
University of Michigan	MASTER-5000002947 Amendment 48	93			338,227
University of Mississippi Medical Center	66102700414-04UMN	93			19,161
University of Mississippi Medical Center	66678010913-UM, Amend 3	93			5,670
University of Nebraska-Lincoln	34-5321-2003-906/Prime 2U54HD061939-06	93			5,366
University of Pennsylvania	556054	93			20,198
University of Pennsylvania	557804 amd 4	93			13,591
University of Pennsylvania	563249 Previously 556786/561286	93			111,165
University of Pennsylvania	No. 566147/R01CA189743-01A1	93			11,877
University of Pittsburgh	0022408 (124765-1)/ (125616-1)	93			14,496

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
University of Pittsburgh	0022408(123274-01)	93			\$ 6,379
University of Pittsburgh	0029252 (121244-1) (Formerly 708046-1)	93			107,379
University of Pittsburgh	0029319 (120132)	93			5,700
University of Pittsburgh	0029319 (120132-2) Am 4	93			203,935
University of Pittsburgh	0030559 (122181-7)	93			26,513
University of Pittsburgh	0041597(125465-5)	93			9,010
University of Pittsburgh	5U54DA031659-04 (Parent)	93			788,177
University of Pittsburgh	5U54DA031659-04 (Supplement)	93			5,066,850
University of Pittsburgh	9007661 (123275-01)	93			6,379
University of Pittsburgh	9007661 (124777-1)	93			41,338
University of Pittsburgh	9007672 (124783-1)	93			14,496
University of Pittsburgh	9009831 (124548-1)	93			27,863
University of Pittsburgh	9010232 (124993-1)	93			64,885
University of Pittsburgh	9010570	93			302,963
University of Pittsburgh	Y2: 0035455(123849-4)/0035455(122748-4)	93			100,107
University of Rochester	416170-G/U01HL096607 Amend 6	93			12,722
University of Rochester	416460-G	93			147,623
University of Rochester	5-28754/415897	93			244,065
University of Rochester	PO: 416130-G UR Account: 5-27534 Yr 2	93			(1,487)
University of Rochester	Subaward No. 415747-G	93			26,016
University of South Florida	6119-1276-00-AI 01	93			12,638
University of Southern California	44888690	93			76,126
University of Southern California	53712500	93			32,111
University of Southern California	54146982	93			25,219
University of Southern California	New: 70003340/Old: 151327	93			277,682
University of Texas at Houston	Subaward #0008802T/2UM1HL087318	93			43,345
University of Toronto	497411	93			37,807
University of Virginia	GB10094-147354	93			39,828
University of Washington	561507, Modification No. 13	93			41,550
University of Washington	730958 amd #3	93			93,896
University of Washington	748756	93			(55)
University of Washington	Subaward No. 751145 Amendment 3	93			210,482
University of Washington	Subaward No. 762304	93			49,207
University of Washington	Subaward# 762724	93			40,398
University of Washington	UWSC7004/PO759206	93			82,169
University of Western States	G R01AT006330-UM	93			76,276
University of Wisconsin-Madison	164K673 Amd. 4	93			21,984
University of Wisconsin-Madison	169K116 Amd 4	93			43,460
University of Wisconsin-Madison	280K921/N01-CN-35153, Amend 3	93			29,705
University of Wisconsin-Madison	396K325 Am 3	93			6,051
University of Wisconsin-Madison	424K023 Amendment 2	93			484
University of Wisconsin-Madison	511K873 Amendment 3	93			262,847
University of Wisconsin-Madison	523K062, Amend 1	93			18,576
University of Wisconsin-Madison	552K952	93			63,641
University of Wisconsin-Madison	568K971, Amend 1	93			163,412
University of Wisconsin-Madison	573K425	93			54,254
University of Wisconsin-Madison	P01AG020166/ 477K201	93			9,072
University of Wisconsin-Madison	Sub #: 116K340 Mod #5	93			20,149
University of Wisconsin-Madison	subaward no. 339K194	93			258,056
University of Wisconsin-Madison	Subaward#580K790	93			16,803
UT Health Science Center San Antonio	Subaward 158000/157998	93			31,993
Vanderbilt University	21822-S1 Amendment 3	93			355,376
Vanderbilt University	2230-015244	93			99,987
Vanderbilt University	VUMC 54904	93			1,785
Vanderbilt University	VUMC43587	93			63,541
Ventria Bioscience	1R43DK094531-01A1	93			7,505
Veterans Medical Research Foundation	08740002-313535	93			205,885
Virginia Commonwealth University	PD303760-SC105738	93			125,387
Virginia Commonwealth University	PD303760-SC105740	93			92,643
Wake Forest University	WFUHS 111188 Admendment 1	93			90,594

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# UNIVERSITY OF MINNESOTA

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2015

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Wake Forest University	WFUHS 114064 Minnesota (Revised)	93			\$ 1,688
Wake Forest University	WFUHS 330181 Amend 9	93			1,020,518
Wake Forest University	WFUHS10802 Amd 3	93			(1,462)
Washington University in St Louis	PO #2923108X (P30DK092950)	93			25,812
Washington University in St Louis	Sub Award No.:WU-15-39 - PO#2922203Y	93			214,736
Washington University in St Louis	Subaward WU-14-104 Mod 1	93			(2,525)
Washington University in St Louis	WU-14-357/PO 2923582X, Amend 2	93			20,763
Washington University in St Louis	WU-15-98	93			1,666,938
Wayne State University	WSU11077 A-6	93			15,518
Wayne State University	WSU13092 amd 1	93			72,738
Wayne State University	WSU14134-A1	93			2,738
Westat, Inc	8906 - S06 Mod. 8	93			53,133
Wichita State University	SUB W2513	93			27,449
Wright State University	P0037258	93			13,077
Wright State University	PSR07213 Amd. 5	93			32,876
Yale University	C13A11573(A09644)	93			60,789
Yale University	Sub No. A09334(M10A10407) Amd. 11	93			39,050
<b>Department of Health and Human Services, Office of Minority Health</b>					
Passthrough:					
Big Brothers Big Sisters-Greater TC	No Award Number	93			2,621
<b>Department of Health and Human Services, Office of the Secretary</b>					
Passthrough:					
Cellerant Therapeutics, Inc	CLT008-02 Amd. 3	93			5,355
<b>Department of Health and Human Services, Substance Abuse and Mental Health Services Administration</b>					
Direct		93			195,954
<b>Department of Homeland Security</b>					
Direct		97			4,120,696
Passthrough:					
Kansas State University	S14169	97			8,172
Purdue University	4112-51774	97			(37,358)
Stanford University	28635340-103440-A	97			42,083
The University of Arizona	Y503224	97			109,153
University of Washington	696098 Mod. 27	97			14,183
<b>Department of Justice</b>					
Direct		16			348,870
<b>Department of Justice, National Institute of Justice</b>					
Direct		16			15,069
Passthrough:					
The University of Iowa	W000590504	16			77,481
<b>Department of Justice, Violence Against Women Office</b>					
Direct		16			117,714
<b>Department of Labor</b>					
Passthrough:					
Summit Consulting, LLC	No Award Number	17			9,422
<b>Department of State</b>					
Passthrough:					
International Joint Commission	1042-100764 Amendment 4 NCE	19			20,098
International Joint Commission	1042-100766 Amend 2	19			19,869
<b>Department of the Interior</b>					
Direct		15			40,190
Passthrough:					
MN Pollution Control Agency	LEAK-17134	15			3,263
Western Assoc of Fish & Wildlife Agencies	SG-C-13-03	15			159,027
<b>Department of the Interior, Fish and Wildlife Service</b>					
Direct		15			255,325
Passthrough:					
Central Michigan University	F14AP00412	15			4,417
MN Department of Natural Resources	3000066617	15			22,120
MN Department of Natural Resources	Contract No. 48239/P.O. #3000020626	15			49,383
University of Michigan	Sub#3003491042/Prime#3003.8	15			1,258

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**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2015**

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of the Interior, National Park Service</b>					
Direct		15			\$ 245,804
<b>Department of the Interior, U.S. Geological Survey</b>					
Direct		15			995,512
Passthrough:					
America View Incorporated	AV13-MN01 Mod 3	15			18,206
University of Massachusetts	12-007036 B 02 Amend 3	15			159,098
University of Massachusetts	14 007887 A 02 Amend 3	15			31,993
<b>Department of Transportation</b>					
Direct		20			140,784
Passthrough:					
American Transportation Research Inst	No Award Number	20			9,495
Battelle	216973	20			4,755
MN Department of Transportation	99008 W.O. 24	20			488,656
MN Department of Transportation	MnDOT Contract No 99008 WO No. 114	20			46,543
MN Metropolitan Council	SG-2013-058	20			5,680
Savari, Inc	SAV-81814	20			23,097
The National Academies	Contract No. HR 24-36/P.O. #SUB0000121	20			73,662
Westat, Inc	8928-S-007 TO 1 mod 2	20			(1,244)
<b>Department of Transportation, Federal Aviation Administration (FAA)</b>					
Direct		20			14,903
Passthrough:					
University of North Texas	GF2671-1/PO NT752-0000149046 am 2	20			53,724
<b>Department of Transportation, Office of the Secretary (OST) Administration Secretariate</b>					
Direct		20			1,468,998
Passthrough:					
Michigan State University	RC103194UMN am 1	20			70,115
South Dakota State University	3TX149	20			51,177
South Dakota State University	3TZ149	20			97,776
<b>Department of Veterans Affairs</b>					
Direct		64			691,436
<b>Environmental Protection Agency, Office of Chemical Safety and Pollution Prevention</b>					
Direct		66			13,316
Passthrough:					
MN Department of Agriculture	84090	66			46,958
<b>Environmental Protection Agency, Office of Research and Development (ORD)</b>					
Direct		66			303,568
Passthrough:					
Univ of Illinois at Urbana-Champaign	2010-00920-01 am 02	66			6,627
University of Washington	120017 Am# 14	66			3,327
Yale University	C14P11826(P00361)am 2	66			17,441
<b>Environmental Protection Agency, Office of the Administrator</b>					
Passthrough:					
MN Pollution Control Agency	Contract # 62890/CR6268	66			12,338
MN Pollution Control Agency	SWIFT 73247; PO 3000009691	66			2,937
MN Pollution Control Agency	SWIFT Contract 73203	66			1,111
MN Pollution Control Agency	SWIFT#: 73715	66			47,839
<b>Environmental Protection Agency, Office of Water</b>					
Direct		66			707,120
Passthrough:					
Central Michigan University	2011-1086	66			411,951
City of Prior Lake	No Award Number	66			39,102
MN Board of Water and Soil Resources	Contract No. B53614	66			48,139
MN Department of Agriculture	B48457	66			5,455
MN Department of Health	Cont. No. 45706/PO#3000006867 Am.1	66			12,671
MN Pollution Control Agency	66692/3000010339 co 1	66			43,265
MN Pollution Control Agency	66695/3000010680	66			74,685
MN Pollution Control Agency	70549	66			195,666
MN Pollution Control Agency	77845	66			33,179
MN Pollution Control Agency	B43113	66			13,337

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**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2015**

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
MN Pollution Control Agency	B43119	66			\$ 4,893
MN Pollution Control Agency	B43845	66			37,118
MN Pollution Control Agency	B44876 Amendment 1	66			96,960
MN Pollution Control Agency	Contract No. 36547 co2&3	66			48,372
MN Pollution Control Agency	SWIFT 74333/PO 3000010174	66			56,123
MN Pollution Control Agency	SWIFT 74335/PO 3000010175	66			49,687
<b>General Services Administration</b>					
Direct		39			84,127
<b>Medicare Payment Advisory Commission</b>					
Direct		06			157,268
<b>National Aeronautics and Space Administration</b>					
Direct		43			4,327,404
Passsthrough:					
Advanced Scientific Concepts, Inc	ASC-STTR-t4.01-9744-CA46P	43			45,311
ERC, Inc	STR100281-1	43			56,800
Jet Propulsion Laboratory	1515198	43			19,983
Johns Hopkins University	119241	43			19,837
Johns Hopkins University	922613 Mod 43	43			1,566,584
Montclair State University	NNX11AM29G-UOM	43			21,683
Rockwell Collins, Inc	PO 4504982612	43			98,413
SETI Institute	SC-3046	43			45,938
South Dakota State University	3TG330	43			24,878
Systems Technology, Inc	No Award Number	43			62,500
Tao of Systems Integration, Inc	NNX12CB02C/DFRC_UMIN3	43			3,983
The Ohio State University	60027268/RF01220512 Mod. 5	43			(6,506)
The Ohio State University	60046380	43			99,028
Universities Space Research Association	SOF 03-0082 Humphreys	43			2,665
Universities Space Research Association	SOF 75-0002 Gerhz-3	43			7,232
Universities Space Research Association	SOF-0015 Gerhz-1	43			5,189
Universities Space Research Association	SOF-0015 Gerhz-1	43			6,165
Universities Space Research Association	SOF-0017	43			4,690
Universities Space Research Association	SOF-0029 - Jones	43			1,373
Universities Space Research Association	SOF-0091	43			9,353
Universities Space Research Association	SOFIA-Woodward	43			15,409
University of California, Berkeley	00007447/975268/BB00071821	43			1,397,305
University of Washington	756245	43			3,576
<b>National Aeronautics and Space Administration, Space Telescope Science Institute</b>					
Direct		43			90,479
<b>National Endowment for the Arts</b>					
Direct		45			2,572
<b>National Endowment for the Humanities</b>					
Direct		45			134,664
<b>National Science Foundation</b>					
Direct		47			84,852,159
Direct		47	ARRA		1,560,798
Passsthrough:					
Arizona State University	15-559	47			17,121
California Institute of Technology	65R-1094903	47			24,858
California Institute of Technology	7E-1089377 Mod 6	47			106,466
California Institute of Technology	7E-1094407 am 1	47			44,100
Carnegie Institution of Washington	6-10392-01	47			239,540
Carnegie Mellon University	1121550-259747	47			135,714
Colorado State University	G-35866-1/DEB-1413925	47			18,086
Columbia University	2 (GG002760) Am 4	47			191,899
Columbia University	2(GG008898)/PO G04491	47			81,591
Consort of Univ for the Adv of Hydrolog	No Award Number	47			28,326
Cornell University	44771-7477	47			533,350
Cornell University	61416-10115 Amd. 2	47			149,928
Cornell University	61416-9595 Amend 5	47			159,964
Cornell University	67364-10063	47			82,247

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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Dakota County Technical College	UM_DCTC	47			\$ 113,095
Donald Danforth Plant Science Center	23202-MN	47			131,112
Georgia Institute of Technology	RC850-G3	47			26,381
Georgia State University	SP0010602-11 Amend. No. 3	47			25,106
Iowa State University	420-20-968 am02	47			85,986
Itasca Community College	DUE-1043821/ Subaward 1 UofM	47			2,468
Koronis Biomedical Technologies Corp	KBT15-NSFCOGLEARNING-03	47			3,848
Maryland Science Center	Amend 3	47			34,760
Moai Technologies, LLC	MOAI-IIP-1346380	47			66,199
NVE Corporation	NVE/Prime IIP-1321460	47			799
NVE Corporation	PO-25018	47			2,378
Purdue University	NEES-4101-31877 Am 30	47			574,757
Raytheon Company	9500012169	47			126,231
Rehabilitation Institute of Chicago	IIS-1010336	47			10,000
Rutgers, The State Univ of New Jersey	5099 Modificaiton 1	47			142,691
Salish Kootenai College	24-170-UMTC	47			19,895
Samuel Roberts Noble Fdn	2011-921-003 Amend 2	47			32,537
Soc for Industrial & Applied Mathematics	SIAM MOU-2015	47			19,728
The Concord Consortium Incorporated	259-01.01 Amd 1	47			86,007
The New York Botanical Garden	NYBG-1206197-12-MIN/EF-1206197	47			43,896
The University of Arizona	125164, Amendment 1	47			34,843
The University of Georgia	RC371-229-4941826	47			331,479
The University of Georgia	RR272-210/4689648 Amend 8	47			22,535
The University of Texas - Pan American	41EMEC022-01	47			74,875
The University of Texas at Austin	UTA11-001083 am 1	47			205,738
The University of Texas at Austin	UTA13-000850	47			9,024
Univ of Illinois at Urbana-Champaign	2013-04254-02 am 1	47			2,601
Univ of Illinois at Urbana-Champaign	2013-05283-01	47			7,243
Univ of Texas	26-1003-8262 am 3	47			30,768
University of California, San Diego	29890093 poS9000016 am 4	47			116,113
University of California, Berkeley	8796	47			89,426
University of Delaware	35455 Amd. 1	47			60,489
University of Florida	UF11235	47			10,629
University of Florida	UFDSP00010481	47			39,231
University of Massachusetts Boston	S 2013 20541	47			26,817
University of Michigan	3002203945/EF-1115081	47			2,459
University of Michigan	3002828346	47			69,055
University of Notre Dame	PHY-1219444	47			3,283
University of Pennsylvania	555729	47			357,301
University of Pennsylvania	CBET-1449337	47			25,224
University of Wisconsin-Madison	194K902	47			27,285
University of Wisconsin-Madison	416K220/ CHE-1240151 Mod. 4	47			161,426
University of Wisconsin-Madison	501K373/CBET-0844537	47	ARRA		20,278
University of Wisconsin-Madison	593K191	47			20,646
University of Wisconsin-Madison	600K110	47			5,338
University of Wisconsin-River Falls	2014-200	47			13,659
Washington State University	118359 G003146	47			97,974
Whitehead Inst For Biomedical Research	14-1699-9902 Amendment 3	47			47,372
Woods Hole Oceanographic Institution	A101120	47			29,848
Yale University	C15D12047(D02016)	47			21,609
<b>National Transportation Safety Board</b>					
Direct		07			52,784
Total Research and Development Cluster					504,003,382
<b>STUDENT FINANCIAL ASSISTANCE CLUSTER</b>					
<b>Department of Education, Office of Federal Student Aid</b>					
Direct		84.007		Federal Supplemental Educational Opportunity Grants	4,207,172
Direct		84.033		Federal Work-Study Program	2,643,830

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**UNIVERSITY OF MINNESOTA**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2015**

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Direct		84.063		Federal Pell Grant Program	\$ 44,065,220
Direct		84.268		Federal Direct Student Loans	392,760,512
Direct		84.379		Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)	175,559
<b>Department of Health and Human Services, Health Resources and Services Administration</b>					
Direct		93.342		Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students	<u>3,843,086</u>
Total Student Financial Assistance Cluster					<u>447,695,379</u>
<b>CCDF CLUSTER</b>					
<b>Department of Health and Human Services, Administration for Children and Families</b>					
Passthrough:					
MN Department of Human Services	65075	93.575		Child Care and Development Block Grant	288,678
MN Department of Human Services	69316	93.575		Child Care and Development Block Grant	120,212
MN Department of Human Services	Contract No. PTK%43868 Am 3	93.575		Child Care and Development Block Grant	<u>78,380</u>
Total CCDF Cluster					<u>487,270</u>
<b>ECONOMIC DEVELOPMENT CLUSTER</b>					
<b>Department of Commerce, Economic Development Administration</b>					
Direct		11.307		Economic Adjustment Assistance	105,377
Passthrough:					
Arrowhead Regional Dev Commission	sub under 06-69-05895	11.307		Economic Adjustment Assistance	<u>3,587</u>
Total Economic Development Cluster					<u>108,964</u>
<b>FEDERAL TRANSIT CLUSTER</b>					
<b>Department of Transportation, Federal Transit Administration (FTA)</b>					
Passthrough:					
MN Metropolitan Council	SG-2014-077/MPO 8592 RPO 199018	20.507		Federal Transit_Formula Grants	<u>90,290</u>
Total Federal Transit Cluster					<u>90,290</u>
<b>HEALTH CENTERS CLUSTER</b>					
<b>Department of Health and Human Services, Health Resources and Services Administration</b>					
Direct		93.224		Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care)	<u>1,407,203</u>
Total Health Centers Cluster					<u>1,407,203</u>
<b>HIGHWAY PLANNING AND CONSTRUCTION CLUSTER</b>					
<b>Department of Transportation, Federal Highway Administration (FHWA)</b>					
Direct		20.205		Highway Planning and Construction	139,465
Passthrough:					
Mid-Ohio Regional Planning Commission	66501-01	20.205		Highway Planning and Construction	<u>82,693</u>
Total Highway Planning and Construction Cluster					<u>222,158</u>
<b>HIGHWAY SAFETY CLUSTER</b>					
<b>Department of Transportation, National Highway Traffic Safety Administration (NHTSA)</b>					
Passthrough:					
Duluth Police Dept	1st amendment to 21780	20.600		State and Community Highway Safety	4,947
Duluth Police Dept	22331	20.600		State and Community Highway Safety	5,582
MN Department of Public Safety	A-ADMIN14-2014-UMREGENTS-00001	20.600		State and Community Highway Safety	24,705
MN Department of Public Safety	A-ADMIN15-2015-UMREGENTS-0001	20.600		State and Community Highway Safety	<u>59,836</u>
Total Highway Safety Cluster					<u>95,070</u>

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## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2015

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
<b>MEDICAID CLUSTER</b>					
<b>Department of Health and Human Services, Centers for Medicare and Medicaid Services</b>					
Passthrough: MN Department of Human Services	PTK%79437	93.778		Medical Assistance Program	\$ 498,831
Total Medicaid Cluster					<u>498,831</u>
<b>SNAP CLUSTER</b>					
<b>Department of Agriculture, Food and Nutrition Service</b>					
Passthrough: MN Department of Human Services	Contract No. 52735/P.O. #3000013603	10.561		State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	3,934,959
MN Department of Human Services	SWIFT GRK%84310/3000032601	10.561		State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	<u>1,308,165</u>
Total SNAP Cluster					<u>5,243,124</u>
<b>SPECIAL EDUCATION CLUSTER (IDEA)</b>					
<b>Department of Education, Office of Special Education and Rehabilitative Services</b>					
Passthrough: MN Department of Education	B48237/UFARS 824/Am. 3/2014-00263	84.173		Special Education_Preschool Grants	16,881
MN Department of Education	B48238/UFARS 824/Grant 2010-00197	84.173		Special Education_Preschool Grants	16,253
MN Department of Education	Grant No. B43118/14480	84.173		Special Education_Preschool Grants	<u>140,984</u>
Total Special Education Cluster (IDEA)					<u>174,118</u>
<b>TANF CLUSTER</b>					
<b>Department of Health and Human Services, Administration for Children and Families</b>					
Passthrough: MN Department of Health	65766	93.558		Temporary Assistance for Needy Families	129,918
MN Department of Human Services	GRK%79637	93.558		Temporary Assistance for Needy Families	<u>150,077</u>
Total TANF Cluster					<u>279,995</u>
<b>TRIO CLUSTER</b>					
<b>Department of Education, Office of Postsecondary Education</b>					
Direct		84.042		TRIO_Student Support Services	327,311
Direct		84.047		TRIO_Upward Bound	1,048,338
Direct		84.217		TRIO_McNair Post-Baccalaureate Achievement	<u>298,776</u>
Total TRIO Cluster					<u>1,674,425</u>
Total Clustered Programs					<u>961,980,209</u>
<b>NON CLUSTERED PROGRAMS</b>					
<b>OPERATIONS &amp; MAINTENANCE</b>					
<b>Department of Homeland Security</b>					
Direct		97.CXX		Contract-Department of Homeland Security	<u>151,655</u>
Total Operations & Maintenance					<u>151,655</u>
<b>PUBLIC SERVICE</b>					
<b>Agency for International Development</b>					
Direct		98.001		USAID Foreign Assistance for Programs Overseas	2,161,143
Passthrough: American Council on Education	HED076-9751-LAC-12-07 AMD#7 & 8	98.012		USAID Development Partnerships for University Cooperation and Development	544,879
American Refugee Committee International	No Award Number	98.001		USAID Foreign Assistance for Programs Overseas	31,367
American Refugee Committee International	No Award Number	98.UXX		Unid CFDA-United States Agency for International Development	<u>25,739</u>

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**UNIVERSITY OF MINNESOTA**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2015**

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Chemonics International, Inc	AID-611-C-12-00001-UMN	98.CXX		Contract-United States Agency for International Development	\$ 145,046
Development Alternatives, Inc	GHN-A-00-09-00015-00 AMD 6	98.CXX		Contract-United States Agency for International Development	118,448
Virginia Tech	451198-19114	98.001		USAID Foreign Assistance for Programs Overseas	1,149
Volunteers for Economic Growth Alliance	SG-2014-4	98.CXX		Contract-United States Agency for International Development	64,161
World Learning	S03-AID-167-A-14-00002	98.001		USAID Foreign Assistance for Programs Overseas	26,224
<b>Corporation for National and Community Service</b>					
Direct		94.002		Retired and Senior Volunteer Program	56,978
<b>Department of Agriculture</b>					
Direct		10.CXX		Contract-Department of Agriculture	870,540
Direct		10.UXX		Unid CFDA-Department of Agriculture	479,522
Passthrough:					
MN Department of Agriculture	86711/14125	10.UXX		Unid CFDA-Department of Agriculture	8,422
<b>Department of Agriculture, Agricultural Marketing Service</b>					
Passthrough:					
MN Department of Agriculture	71784 3(4)10420	10.170		Specialty Crop Block Grant Program - Farm Bill	41,583
MN Department of Agriculture	71849	10.170		Specialty Crop Block Grant Program - Farm Bill	38,938
MN Department of Agriculture	Grant # 86195/PO # 13974	10.170		Specialty Crop Block Grant Program - Farm Bill	11,366
<b>Department of Agriculture, Agricultural Research Service</b>					
Passthrough:					
CIMMYT Int'l Maize & Wheat Improvement	No Award Number	10.001		Agricultural Research_Basic and Applied Research	14,905
<b>Department of Agriculture, Animal and Plant Health Inspection Service</b>					
Direct		10.025		Plant and Animal Disease, Pest Control, and Animal Care	562,787
Passthrough:					
MN Department of Agriculture	69646/3(5)9908	10.025		Plant and Animal Disease, Pest Control, and Animal Care	322
<b>Department of Agriculture, Economic Research Service</b>					
Direct		10.250		Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations	51,373
Passthrough:					
Minnesota Board of Animal Health	63954	10.250		Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations	601,452
<b>Department of Agriculture, Farm Service Agency</b>					
Direct		10.435		State Mediation Grants	238,028
<b>Department of Agriculture, Food and Nutrition Service</b>					
Direct		10.578		WIC Grants To States (WGS)	34,705
Passthrough:					
MN Department of Education	89907/PO 10484	10.574		Team Nutrition Grants	4,301
<b>Department of Agriculture, Food Safety and Inspection Service</b>					
Direct		10.479		Food Safety Cooperative Agreements	363,269
<b>Department of Agriculture, Foreign Agricultural Service</b>					
Direct		10.614		Scientific Cooperation Exchange Program with China	13,566
Direct		10.777		Norman E. Borlaug International Agricultural Science and Technology Fellowship	17,918
Direct		10.960		Technical Agricultural Assistance	204,680
Direct		10.962		Cochran Fellowship Program-International Training-Foreign Participant	51,714
<b>Department of Agriculture, Forest Service</b>					
Passthrough:					
MN Department of Natural Resources	72897	10.664		Cooperative Forestry Assistance	108,173
MN Department of Natural Resources	Contract #86881	10.675		Urban and Community Forestry Program	50,703
<b>Department of Agriculture, National Institute of Food and Agriculture</b>					
Direct		10.210		Higher Education Graduate Fellowships Grant Program	31,116
Direct		10.215		Sustainable Agriculture Research and Education	110,580
Direct		10.220		Higher Education - Multicultural Scholars Grant Program	31,562
Direct		10.303		Integrated Programs	90,341
Direct		10.304		Homeland Security_Agricultural	55,000
Direct		10.310		Agriculture and Food Research Initiative (AFRI)	460
Direct		10.311		Beginning Farmer and Rancher Development Program	91,038
Direct		10.315	ARRA	Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF)	445,763
Direct		10.319		Farm Business Management and Benchmarking Competitive Grants Program	307,496
Direct		10.329		Crop Protection and Pest Management Competitive Grants Program	147,732
Direct		10.500		Cooperative Extension Service	11,675,620
Passthrough:					
Cornell University	61384-9313	10.303		Integrated Programs	8,672
Kansas State University	S11189	10.500		Cooperative Extension Service	109,984

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## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2015

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Kansas State University	S14202/2014-68006-21850 prime	10.310		Agriculture and Food Research Initiative (AFRI)	\$ 43,364
Kansas State University	S15002	10.500		Cooperative Extension Service	56,647
Michigan State University	RC064356N & Amend. 1	10.200		Grants for Agricultural Research, Special Research Grants	1,430
Pennsylvania State University	5142-UM-USDA-2628/USDA 2014-48775-2262	10.500		Cooperative Extension Service	4,915
The University of Arizona	166793/2011-48745-31186	10.500		Cooperative Extension Service	504,332
The University of Arizona	171467/2012-48710-20035	10.500		Cooperative Extension Service	48,107
Univ of Illinois at Urbana-Champaign	2012-00748-02 Amend 2	10.303		Integrated Programs	3,717
Univ of Illinois at Urbana-Champaign	2012-00748-11	10.303		Integrated Programs	(15)
Univ of Illinois at Urbana-Champaign	2014-70006-22486	10.329		Crop Protection and Pest Management Competitive Grants Program	980
Univ of Illinois at Urbana-Champaign	2015-00768-07/FAIN 20144877022587	10.500		Cooperative Extension Service	31,769
University of Nebraska-Lincoln	25-6321-0212-006	10.310		Agriculture and Food Research Initiative (AFRI)	109,908
University of Nebraska-Lincoln	25-6324-0119-016	10.500		Cooperative Extension Service	6,378
University of Nebraska-Lincoln	25-6324-0119-020	10.500		Cooperative Extension Service	4,350
University of Nebraska-Lincoln	25-6324-0119-303	10.500		Cooperative Extension Service	56
University of Nebraska-Lincoln	25-6329-0059-006	10.500		Cooperative Extension Service	23,386
<b>Department of Agriculture, Natural Resources Conservation Service</b>					
Passthrough:					
South Dakota State University	3TJ491	10.902		Soil and Water Conservation	8,500
University of Wisconsin Extension	363K893/68-3A75-11-268 prime AM5	10.902		Soil and Water Conservation	25,152
<b>Department of Agriculture, Rural Business-Cooperative Service</b>					
Direct		10.868		Rural Energy for America Program	5,265
<b>Department of Agriculture, The Office of the Chief Economist</b>					
Direct		10.290		Agricultural Market and Economic Research	21,740
<b>Department of Commerce</b>					
Direct		11.CXX		Contract-Department of Commerce	89,350
<b>Department of Commerce, Economic Development Administration</b>					
Direct		11.303		Economic Development_Technical Assistance	106,906
Passthrough:					
Harvard University	Contract 05-137270	11.303		Economic Development_Technical Assistance	24,794
Purdue University	4112-58826	11.303		Economic Development_Technical Assistance	5,565
<b>Department of Commerce, National Oceanic and Atmospheric Administration (NOAA)</b>					
Direct		11.417		Sea Grant Support	1,113,895
Direct		11.427		Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program	214,177
Passthrough:					
Minnesota's Lake Superior Coastal Prog	CoastalAgrm#13-306-18/3000046334, Mod 1	11.419		Coastal Zone Management Administration Awards	16,368
MN Department of Natural Resources	CoastalAgr#13-306-16/3000046322 Mod 1	11.419		Coastal Zone Management Administration Awards	13,714
MN Department of Natural Resources	CoastalAgr. 13-306-14/3000046312 Mod 2	11.419		Coastal Zone Management Administration Awards	18,426
The Ohio State University	Sub 6003744, PO# RF01307854, Amend. 1	11.417		Sea Grant Support	2,963
Univ of Illinois at Urbana-Champaign	2010-03074-03 Amend. 2	11.417		Sea Grant Support	11,490
Univ of Illinois at Urbana-Champaign	2010-03074-08	11.417		Sea Grant Support	33,695
Univ of Illinois at Urbana-Champaign	2013-03729-01	11.432		National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	1,191
University of Wisconsin-Madison	prime 60045153; 550K421 amend 1	11.417		Sea Grant Support	5,830
<b>Department of Defense</b>					
Direct		12.CXX		Contract-Department of Defense	141,161
Passthrough:					
General Dynamics	PO #07ESM758877/Contract W91YTZ-13-C-001	12.CXX		Contract-Department of Defense	175,453
<b>Department of Defense, Department of the Navy, Office of the Chief of Naval Research</b>					
Direct		12.300		Basic and Applied Scientific Research	328,671
<b>Department of Defense, National Security Agency</b>					
Direct		12.900		Language Grant Program	62,920
<b>Department of Defense, Office of the Secretary of Defense</b>					
Passthrough:					
Institute of International Education	2340-UMN-4-PI-093-P02	12.550		The Language Flagship Grants to Institutions of Higher Education	205,534
Institute of International Education	NSEP-U631083-UMN-CARLA	12.550		The Language Flagship Grants to Institutions of Higher Education	3,824
<b>Department of Education</b>					
Passthrough:					
American Institutes for Research	00651-03014.001/PO12SRT10024 Mod 5	84.UXX		Unid CFDA-Department of Education	43,123
Assoc of Univ Centers on Disabilities	H418T140002	84.418		Promoting Readiness of Minors in Supplemental Security Income	37,588
Council of Chief State School Officers	No Award Number	84.UXX		Unid CFDA-Department of Education	526
MN Department of Education	58144	84.412		Race to the Top Early Learning Challenge	287,026

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## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2015

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Washington Dept of Public Instruction	20150246	84.UXX		Unid CFDA-Department of Education	\$ 49,996
<b>Department of Education, Institute of Education Sciences</b>					
Passthrough:					
Northwestern University	SP00020027-PROJ0005355	84.305		Education Research, Development and Dissemination	1,003
SRI International	51-001253	84.324		Research in Special Education	75,122
Univ of Illinois at Urbana-Champaign	2011-06649-01-00	84.324		Research in Special Education	55,387
<b>Department of Education, Office of Elementary and Secondary Education</b>					
Passthrough:					
Council of Chief State School Officers	S368A120002/ #7622	84.368		Grants for Enhanced Assessment Instruments	78,493
Council of Chief State School Officers	S368A120002/ #9488	84.368		Grants for Enhanced Assessment Instruments	(12,448)
MN Department of Education	81799/8911	84.287		Twenty-First Century Community Learning Centers	4,428
MN Department of Education	82983/PO9112	84.287		Twenty-First Century Community Learning Centers	4,773
MN Office of Higher Education	57389/1720	84.367		Improving Teacher Quality State Grants	425
MN Office of Higher Education	58012	84.367		Improving Teacher Quality State Grants	3,590
MN Office of Higher Education	58035	84.367		Improving Teacher Quality State Grants	6,026
MN Office of Higher Education	74084	84.367		Improving Teacher Quality State Grants	106,775
MN Office of Higher Education	74087	84.367		Improving Teacher Quality State Grants	27,864
MN Office of Higher Education	88639/PO 3367	84.367		Improving Teacher Quality State Grants	28,800
MN Office of Higher Education	88794	84.367		Improving Teacher Quality State Grants	27,472
MN Office of Higher Education	SWIFT No. 58034	84.367		Improving Teacher Quality State Grants	2,702
MN Office of Higher Education	Swift No. 74091 Amend. #1	84.367		Improving Teacher Quality State Grants	46,393
MN Office of Higher Education	Swift No. 74093	84.367		Improving Teacher Quality State Grants	47,792
National Writing Project	92-MN01-SEED2012 2A	84.367		Improving Teacher Quality State Grants	10,000
<b>Department of Education, Office of Innovation and Improvement</b>					
Passthrough:					
Cloquet Public Schools ISD 94	No Award Number	84.351		Arts in Education	29,565
Saint Paul Public Schools ISD 625	PO No. 0000302733	84.363		School Leadership	63,291
<b>Department of Education, Office of Postsecondary Education</b>					
Direct		84.015		National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	843,985
Direct		84.200		Graduate Assistance in Areas of National Need	28,397
Direct		84.220		Centers for International Business Education	44,257
Direct		84.229		Language Resource Centers	117,020
Passthrough:					
Fond du Lac Tribal & Community College	PO No. 15948, 16572, 18295, 20853, 24187	84.031		Higher Education_Institutional Aid	9,890
Minnesota State Colleges & Universities	P.O: 34309, 38450, 38449, 40102, 41796	84.031		Higher Education_Institutional Aid	21,475
<b>Department of Education, Office of Special Education and Rehabilitative Services</b>					
Direct		84.133		National Institute on Disability and Rehabilitation Research	43,196
Direct		84.235		Rehabilitation Services Demonstration and Training Programs	256,825
Direct		84.325		Special Education—Personnel Development to Improve Services and Results for Children with Disabilities	1,644
Direct		84.326		Special Education—Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	2,108,189
Direct		84.327		Special Education—Educational Technology Media, and Materials for Individuals with Disabilities	63,781
Direct		84.373		Special Education—Technical Assistance on State Data Collection	11,710,011
Passthrough:					
Florida Gulf Coast University	12041-15-UMN	84.323		Special Education—State Personnel Development	87,630
Florida Gulf Coast University	ORSP-12041-26576-1	84.323		Special Education—State Personnel Development	77,130
MN Department of Education	B52449 (2014-00212) Amd. 6	84.323		Special Education—State Personnel Development	18,780
MN Department of Education	Contract No. B52286/13185/2697	84.181		Special Education—Grants for Infants and Families	59,430
Salus University	83401	84.325		Special Education—Personnel Development to Improve Services and Results for Children with Disabilities	80,406
<b>Department of Energy</b>					
Direct		81.122	ARRA	Electricity Delivery and Energy Reliability, Research, Development and Analysis	157,124
Passthrough:					
Midwest Renewable Energy Association	Subaward under DE-EE0006544	81.117		Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance	49,998
MN Department of Commerce	57842/PO 2791	81.119		State Energy Program Special Projects	143,523
MN Department of Commerce	77959	81.041		State Energy Program	130,051
<b>Department of Health and Human Services</b>					
Direct		93.CXX		Contract-Department of Health and Human Services	185,280
Direct		93.U02		Unid CFDA-Department of Health and Human Services-Other PHS	144,311

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
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Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Passthrough:					
Assoc of Maternal & Child Health Prog	No Award Number	93.U02		Unid CFDA-Department of Health and Human Services-Other PHS	\$ 9,328
Cellerant Therapeutics, Inc	Protocol No. MT 2008-38	93.CXX		Contract-Department of Health and Human Services	30,196
Fairview Health Services	H30MC00032/H30MC24052/FV2009-201	93.U02		Unid CFDA-Department of Health and Human Services-Other PHS	9,955
Fond du Lac Band of Lk Superior Chippewa	No Award Number	93.UXX		Unid CFDA-Department of Health and Human Services	12,696
Hasset Willis & Company	HSHQDC-13-C-00016-SUB 004 MOD#2	93.CXX		Contract-Department of Health and Human Services	1,048,431
RAND Corporation	9920120003	93.CXX		Contract-Department of Health and Human Services	10,448
The Lewin Group	TLG-11-073-5381.02 Mod. No. 3	93.CXX		Contract-Department of Health and Human Services	91,155
The Lewin Group	TLG14003-5176.20 Mod. No. 1	93.CXX		Contract-Department of Health and Human Services	783,723
<b>Department of Health and Human Services, Administration for Children and Families</b>					
Direct		93.592		Family Violence Prevention and Services/Discretionary	443,729
Passthrough:					
MN Department of Human Services	GRK% 30794 Am 3	93.603		Adoption Incentive Payments	60,376
The Research Foundation of SUNY	Sub No. 14-30; RF File#113708-18-66158	93.648		Child Welfare Research Training or Demonstration	105,382
<b>Department of Health and Human Services, Administration for Community Living</b>					
Direct		93.631		Developmental Disabilities Projects of National Significance	570,338
Direct		93.632		University Centers for Excellence in Developmental Disabilities Education, Research, and Service	485,850
<b>Department of Health and Human Services, Agency for Healthcare Research and Quality</b>					
Passthrough:					
Health Research & Educational Trust	HHSA2902010000251/80784	93.C03		Contract-Department of Health and Human Services-Agency for Health Care Policy and Research	31,520
Health Research & Educational Trust	HHSA2902010000251/80797	93.C03		Contract-Department of Health and Human Services-Agency for Health Care Policy and Research	74,783
<b>Department of Health and Human Services, Centers for Disease Control and Prevention</b>					
Direct		93.067		Global AIDS	62,800
Direct		93.069		Public Health Emergency Preparedness	272,307
Direct		93.073		Birth Defects and Developmental Disabilities - Prevention and Surveillance	91,539
Direct		93.135		Centers for Research and Demonstration for Health Promotion and Disease Prevention	540,088
Direct		93.C05		Contract-Department of Health and Human Services-Centers for Disease Control	896,316
Passthrough:					
Assoc of Univ Centers on Disabilities	No Award Number	93.073		Birth Defects and Developmental Disabilities - Prevention and Surveillance	4,000
Assoc of Univ Centers on Disabilities	No Award Number	93.292		National Public Health Improvement Initiative	11,551
Fairview Health Services	5U27DD000862-04	93.184		Disabilities Prevention	13,559
MN Department of Health	#70626/PO#3000018499/3000025522	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	6,028
MN Department of Health	Community Pharmacy	93.945		Assistance Programs for Chronic Disease Prevention and Control	27,389
MN Department of Health	Contract # 56464/P.O.# 3000011678	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	57,489
MN Department of Health	Contract 46758/PO #3-7296/3-17592 MDH	93.531		PPHF—Community Transformation Grants and National Dissemination and Support for Community Transformation Grants—financed solely by Prevention and Public Health Funds	106,891
St of MN	89502	93.757		State Public Health Actions to Prevent and Control Diabetes, Heart Disease, Obesity and Associated Risk Factors and Promote School Health financed in part by Prevention and Public Health Funding (PPHF)	14,120
State of Oregon	Grant #145649	93.283		Centers for Disease Control and Prevention_ Investigations and Technical Assistance	23,683
<b>Department of Health and Human Services, Centers for Medicare and Medicaid Services</b>					
Direct		93.C07		Contract-Department of Health and Human Services-Centers for Medicare and Medicaid Services	1,952,706
Passthrough:					
Allina Health System	No Award Number	93.610		Health Care Innovation Awards (HCIA)	21,782
MN Department of Health	88433	93.624		ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance	12,297
MN Department of Human Services	55729	93.536		The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project	43,078
MN Department of Human Services	Contract No. 50470/P.O. #3000012297	93.791		Money Follows the Person Rebalancing Demonstration	938,454
National Opinion Research Center	7672.SHADAC.01	93.C07		Contract-Department of Health and Human Services-Centers for Medicare and Medicaid Services	14,396
National Opinion Research Center	Subcontract No. 7409.SHA.01	93.C07		Contract-Department of Health and Human Services-Centers for Medicare and Medicaid Services	418,788
The Feinstein Inst for Medical Research	500559-UOM-02	93.610		Health Care Innovation Awards (HCIA)	12,446
The Lewin Group	TLG14062-5587	93.779		Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	8,388
<b>Department of Health and Human Services, Food and Drug Administration</b>					
Direct		93.103		Food and Drug Administration_ Research	534,592
<b>Department of Health and Human Services, Health Resources and Services Administration</b>					
Direct		93.110		Maternal and Child Health Federal Consolidated Programs	27,629
Direct		93.155		Rural Health Research Centers	730,210
Direct		93.211		Telehealth Programs	281,163
Direct		93.241		State Rural Hospital Flexibility Program	869,431
Direct		93.516		Affordable Care Act (ACA) Public Health Training Centers Program	72,363
Direct		93.622		Affordable Care Act: Coordinating Center for Interprofessional Education and Collaborative Practice	1,064,755
Direct		93.969		PPHF Geriatric Education Centers	491,172

(Continued)

**UNIVERSITY OF MINNESOTA**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2015**

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Passthrough:					
Minneapolis Medical Research Foundation	HSH250201000018C	93.C09		Contract-Department of Health and Human Services-Health Resources and Services Administration	\$ 91,371
MN Department of Health	87640	93.110		Maternal and Child Health Federal Consolidated Programs	24,564
MN Department of Health	PO 3000013795 Early Decision Rural Dent	93.236		Grants to States to Support Oral Health Workforce Activities	29,886
MN Department of Human Services	Contract No. 47964/P.O. 3000010630	93.917		HIV Care Formula Grants	171,660
National Opinion Research Center	7696.UNIV_MN.01	93.C09		Contract-Department of Health and Human Services-Health Resources and Services Administration	79,498
Northeast MN Area Health Education Ctr	UMN006/G98RH19709-01-01	93.912		Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program	7,770
University of California, San Francisco	Agreement #8305sc	93.110		Maternal and Child Health Federal Consolidated Programs	191,510
University of Illinois at Chicago	1UB6HP27881-01-00	93.516		Affordable Care Act (ACA) Public Health Training Centers Program	61,771
<b>Department of Health and Human Services, National Institutes of Health</b>					
Direct		93.C11		Contract-Department of Health and Human Services-National Institutes of Health	127,961
Passthrough:					
Leidos Biomedical Research, Inc	29X128TO2, AMD No. 2	93.C11		Contract-Department of Health and Human Services-National Institutes of Health	1,000
<b>Department of Health and Human Services, Office of the Secretary</b>					
Passthrough:					
Nat'l Assoc of Cty & City Health Off	MRC 15-0004	93.008		Medical Reserve Corps Small Grant Program	3,499
Nat'l Assoc of Cty & City Health Off	MRC 15-004C	93.008		Medical Reserve Corps Small Grant Program	9,968
Nat'l Assoc of Cty & City Health Off	MRC-14-0004	93.008		Medical Reserve Corps Small Grant Program	61
<b>Department of Health and Human Services, Substance Abuse and Mental Health Services Administration</b>					
Direct		93.243		Substance Abuse and Mental Health Services_Projects of Regional and National Significance	364,856
<b>Department of Homeland Security</b>					
Direct		97.CXX		Contract-Department of Homeland Security	283,201
Passthrough:					
Kansas State University	s15081	97.061		Centers for Homeland Security	14,036
MN Dept of Homeland Sec & Emer Mgmt	A-HM-2014-UNIVMN-0002	97.047		Pre-Disaster Mitigation	26,250
MN Dept of Homeland Sec & Emer Mgmt	A-UASI-2011-UNIVMN-00041	97.067		Homeland Security Grant Program	38,649
<b>Department of Justice</b>					
Direct		16.CXX		Contract-Department of Justice	3,700
Passthrough:					
American Probation & Parole Association	13-LA-085-1974/15-A1-085-1974	16.CXX		Contract-Department of Justice	4,606
<b>Department of Justice, Office of Juvenile Justice and Delinquency Prevention</b>					
Passthrough:					
National 4-H Council	2014-JU-FX-0025	16.726		Juvenile Mentoring Program	51,303
National 4-H Council	Sub under 2013-JU-FX-0022	16.726		Juvenile Mentoring Program	63,821
<b>Department of Justice, Violence Against Women Office</b>					
Direct		16.526		OVW Technical Assistance Initiative	435,711
<b>Department of Labor, Employment Training Administration</b>					
Passthrough:					
Minnesota State Colleges & Universities	PO # 103244/previous PO # 99696	17.282		Trade Adjustment Assistance Community College and Career Training (TAACCT) Grants	53,604
<b>Department of Labor, Office of Disability Employment Policy</b>					
Passthrough:					
Institute for Educational Leadership	OD-23804-12-75-4-11	17.720		Disability Employment Policy Development	90,638
<b>Department of State</b>					
Passthrough:					
Institute of International Education	S-ECAGD-14-CA-1037	19.CXX		Contract-Department of State	27,758
International Research & Exchange Board	FY14-YALI-UMN-01	19.UXX		Unid CFDA-Department of State	69,095
<b>Department of State, Bureau of Educational and Cultural Affairs</b>					
Direct		19.415		Professional and Cultural Exchange Programs - Citizen Exchanges	87,496
Passthrough:					
American Alliance of Museums	S-ECAGD-13-CA-116 (SS)	19.415		Professional and Cultural Exchange Programs - Citizen Exchanges	61,987
American Councils for International Educ	SECAGD-14-CA-1078-UMN-200386	19.415		Professional and Cultural Exchange Programs - Citizen Exchanges	104,772
Institute of International Education	No Award Number	19.010		Academic Exchange Programs - Hubert H. Humphrey Fellowship Program	216,338
Institute of International Education	S-ECAGD-13-CA-008	19.010		Academic Exchange Programs - Hubert H. Humphrey Fellowship Program	(1,600)
Institute of International Education	S-ECAGD-14-CA-1037	19.010		Academic Exchange Programs - Hubert H. Humphrey Fellowship Program	326,927
International Research & Exchange Board	FY15-YALI-UMN-02	19.009		Academic Exchange Programs - Undergraduate Programs	36,790
<b>Department of State, Under Secretary for Public Diplomacy and Public Affairs</b>					
Direct		19.040		Public Diplomacy Programs	46,420
<b>Department of the Interior</b>					
Direct		15.CXX		Contract-Department of the Interior	45,235

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**UNIVERSITY OF MINNESOTA**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2015**

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
<b>Department of the Interior, Fish and Wildlife Service</b>					
Direct		15.608		Fish and Wildlife Management Assistance	\$ (36)
<b>Department of the Interior, National Park Service</b>					
Direct		15.945		Cooperative Research and Training Programs Resources of the National Park System	29,870
<b>Department of the Treasury</b>					
Direct		21.CXX		Contract-Department of the Treasury	43,305
<b>Department of the Treasury, Internal Revenue Service (IRS)</b>					
Direct		21.008		Low Income Taxpayer Clinics	96,491
<b>Department of Transportation</b>					
Direct		20.UXX		Unid CFDA-Department of Transportation	11,500
Passthrough:					
KLS Engineering, LLC	T5001-2014-01 NCE	20.UXX		Unid CFDA-Department of Transportation	31,648
MN Department of Transportation	99008 W.O. 123	20.CXX		Contract-Department of Transportation	25,620
MN Department of Transportation	99008 W.O. 161 Amendment 1	20.CXX		Contract-Department of Transportation	131,997
MN Department of Transportation	99008 Work Order No. 34 Amend 2	20.UXX		Unid CFDA-Department of Transportation	12,373
<b>Department of Veterans Affairs</b>					
Direct		64.UXX		Unid CFDA-Department of Veterans Affairs	438,548
<b>Environmental Protection Agency, Office of Water</b>					
Direct		66.469		Great Lakes Program	263,093
Passthrough:					
MN Pollution Control Agency	66360/PO3000008336	66.460		Nonpoint Source Implementation Grants	118,879
MN Pollution Control Agency	Contract No. B56501 (Brittany Story)	66.469		Great Lakes Program	113,503
MN Pollution Control Agency	Grant No. 38905/C9-97593511-0	66.460		Nonpoint Source Implementation Grants	15,873
MN Pollution Control Agency	SWIFT #75852 SWIFT PO #300010200	66.469		Great Lakes Program	49,365
Univ of Illinois at Urbana-Champaign	2012-06075-03 A0131 Amend 02	66.469		Great Lakes Program	12,586
University of Wisconsin-Madison	423K220 under UW MSN155471 mod. 1	66.469		Great Lakes Program	6,943
<b>Federal Trade Commission</b>					
Direct		36.CXX		Contract-Federal Trade Commission	11,778
<b>Institute of Museum and Library Services</b>					
Direct		45.301		Museums for America	232,304
Direct		45.312		National Leadership Grants	93,559
Passthrough:					
MN Department of Education	64762/PO 6188	45.310		Grants to States	80,000
MN Department of Education	64767/PO 6189	45.310		Grants to States	1,200,000
<b>Medicaid and CHIP Payment and Access Commission</b>					
Direct		05.CXX		Contract-Medicaid and CHIP Payment and Access Commission (MACPAC)	213,429
<b>National Aeronautics and Space Administration</b>					
Direct		43.001		Science	4,776
Direct		43.009		Cross Agency Support	23,296
Direct		43.UXX		Unid CFDA-National Aeronautics and Space Administration	475,491
<b>National Council on Disability</b>					
Passthrough:					
Nat'l Assn of State Dir of Dev Dis Svcs	No Award Number	92.CXX		Contract-National Council on Disability	6,000
<b>National Endowment for the Arts</b>					
Direct		45.024		Promotion of the Arts_Grants to Organizations and Individuals	57,132
Passthrough:					
Arts Midwest	FY15-63	45.025		Promotion of the Arts_Partnership Agreements	900
Arts Midwest	No Award Number	45.025		Promotion of the Arts_Partnership Agreements	3,200
<b>National Endowment for the Humanities</b>					
Direct		45.149		Promotion of the Humanities_Division of Preservation and Access	27,125
Passthrough:					
American Library Assn	2178	45.U03		Unid CFDA-National Endowment for the Humanities	1,741
Digital Public Library of America, Inc	NEH_001_2013	45.169		Promotion of the Humanities_Office of Digital Humanities	128,419
<b>Peace Corps</b>					
Direct		45.C05		Contract-Peace Corps	24,816
<b>Small Business Administration</b>					
Passthrough:					
MN Dept of Employment & Economic Dev	#SC73003 Amend 4 SBDC-15-0002-S-FY15	59.037		Small Business Development Centers	296,964
MN Dept of Employment & Economic Dev	SC#73018 Amend 4 SBDC-15-0009-S-FY15	59.037		Small Business Development Centers	200,860

(Continued)

**UNIVERSITY OF MINNESOTA**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2015**

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
<b>Vietnam Education Foundation</b>					
Direct		85.U09		Unid CFDA-Vietnam Education Foundation	\$ 2,000
Total Public Service					62,059,498
<b>INSTRUCTION</b>					
<b>Department of Agriculture, National Institute of Food and Agriculture</b>					
Direct		10.202		Cooperative Forestry Research	111,738
Direct		10.203		Payments to Agricultural Experiment Stations Under the Hatch Act	605,194
Direct		10.210		Higher Education Graduate Fellowships Grant Program	83,457
Direct		10.217		Higher Education - Institution Challenge Grants Program	92,737
Direct		10.220		Higher Education - Multicultural Scholars Grant Program	22,408
Passthrough:					
Iowa State University	416-40-93P/2012-38500-19550	10.200		Grants for Agricultural Research, Special Research Grants	2,272
Iowa State University	416-41-21D	10.310		Agriculture and Food Research Initiative (AFRI)	70,435
<b>Department of Defense, National Security Agency</b>					
Direct		12.900		Language Grant Program	45,582
<b>Department of Defense, Office of the Secretary of Defense</b>					
Passthrough:					
Institute of International Education	2340-UMN-4-CHN-083-PO1	12.550		The Language Flagship Grants to Institutions of Higher Education	334,078
<b>Department of Education, Office of Elementary and Secondary Education</b>					
Passthrough:					
MN Office of Higher Education	74086	84.367		Improving Teacher Quality State Grants	35,585
MN Office of Higher Education	74089	84.367		Improving Teacher Quality State Grants	36,212
MN Office of Higher Education	88638	84.367		Improving Teacher Quality State Grants	8,798
MN Office of Higher Education	88796 PO 3371	84.367		Improving Teacher Quality State Grants	11,158
National Writing Project	92-MN01-SEED2012 AMD 03	84.367		Improving Teacher Quality State Grants	17,184
<b>Department of Education, Office of Postsecondary Education</b>					
Direct		84.229		Language Resource Centers	44,549
<b>Department of Education, Office of Special Education and Rehabilitative Services</b>					
Direct		84.325		Special Education - Personnel Development to Improve Services and Results for Children with Disabilities	185,073
Passthrough:					
MN Department of Education	SWIFT# 43445, Grant 2014-00389, Am 4	84.323		Special Education - State Personnel Development	99,349
<b>Department of Health and Human Services, Administration for Children and Families</b>					
Passthrough:					
MN Department of Human Services	AGPS #439481	93.658		Foster Care Title IV-E	(73)
MN Department of Human Services	GRK 29646 Req# H55 D14	93.658		Foster Care Title IV-E	33,153
MN Department of Human Services	GRK% 29646	93.658		Foster Care Title IV-E	282,241
MN Department of Human Services	GRK%80888	93.658		Foster Care Title IV-E	1,239,530
MN Department of Human Services	Swift# GRK%80883 SFY15PO 3000030409	93.658		Foster Care Title IV-E	400,870
<b>Department of Health and Human Services, Agency for Healthcare Research and Quality</b>					
Direct		93.225		National Research Service Awards_Health Services Research Training	583,913
<b>Department of Health and Human Services, Centers for Disease Control and Prevention</b>					
Direct		93.135		Centers for Research and Demonstration for Health Promotion and Disease Prevention	(53)
Direct		93.262		Occupational Safety and Health Program	1,821,662
Direct		93.542		Health Promotion and Disease Prevention Research Centers: PPHF - Affordable Care Act Projects	118,697
<b>Department of Health and Human Services, Health Resources and Services Administration</b>					
Direct		93.110		Maternal and Child Health Federal Consolidated Programs	1,635,333
Direct		93.124		Nurse Anesthetist Traineeships	16,206
Direct		93.186		National Research Service Award in Primary Care Medicine	240,539
Direct		93.247		Advanced Nursing Education Grant Program	404,697
Direct		93.732		Mental and Behavioral Health Education and Training Grants	166,672
Direct		93.822		Health Careers Opportunity Program	704,560
Direct		93.884		Grants for Primary Care Training and Enhancement	623,777
<b>Department of Health and Human Services, Indian Health Service</b>					
Direct		93.970		Health Professions Recruitment Program for Indians	135,198
<b>Department of Health and Human Services, Substance Abuse and Mental Health Services Administration</b>					
Direct		93.243		Substance Abuse and Mental Health Services_Projects of Regional and National Significance	400,867
Passthrough:					
MN Department of Human Services	GRK% 29816	93.958		Block Grants for Community Mental Health Services	67,660

(Continued)

**UNIVERSITY OF MINNESOTA**

**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2015**

Cluster, Agency, Subagency, Pass-through Entity	Pass-Through Award #	CFDA#	ARRA	CFDA Program Title	Federal Expenditures
Department of Homeland Security Direct		97.061		Centers for Homeland Security	89,347
Department of State, Bureau of Educational and Cultural Affairs Passthrough:					
Institute of International Education	No Award Number	19.010		Academic Exchange Programs - Hubert H. Humphrey Fellowship Program	11,575
Department of Transportation, Federal Highway Administration (FHWA) Direct		20.215		Highway Training and Education	11,500
Department of Veterans Affairs Direct		64.UXX		Unid CFDA-Department of Veterans Affairs	457,198
Environmental Protection Agency, Office of Research and Development (ORD) Direct		66.511		Office of Research and Development Consolidated Research/Training/Fellowships	477,813
Direct		66.514		Science To Achieve Results (STAR) Fellowship Program	15,099
National Aeronautics and Space Administration Direct		43.001		Science	5,490
Vietnam Education Foundation Direct		85.U09		Unid CFDA-Vietnam Education Foundation	<u>27,063</u>
Total Instruction					<u>11,776,343</u>
Total Non Clustered Programs					<u>73,987,496</u>
TOTAL FEDERAL EXPENDITURES					<u>\$1,035,967,705</u>

(Concluded)



# UNIVERSITY OF MINNESOTA

## NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS FOR THE YEAR ENDED JUNE 30, 2015

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### 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The accompanying schedule of expenditures of federal awards (the "Schedule") includes the federal grant activity of the University of Minnesota (the "University") and is prepared on the cash basis of accounting. The information presented in the Schedule is presented in accordance with the requirements of the U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in the Schedule may differ from amounts presented in, or used in, the preparation of the University's consolidated financial statements.

The University passes through certain funds to subgrantee organizations. Expenditures incurred by the subgrantees and reimbursed by the University are presented in the Schedule but not in the consolidated financial statements of the University. The University is also the subrecipient of federal funds, which have been subject to testing and are reported expenditures included as pass-throughs in the Schedule.

### 2. FACILITIES AND ADMINISTRATIVE RATES

The University utilized facilities and administrative rates that have been negotiated with and approved by its cognizant agency, the U.S. Department of Health and Human Services, and are effective for the period of July 1, 2011 through June 30, 2015.

The University has completed negotiations with the federal government for a new four-year facilities and administration (F&A) rates agreement. The new rates agreement is effective July 1, 2015, and provides the F&A rates remain unchanged for FY2016. In FY2017 the Hormel Institute rate will increase from 52.5% to 55.0%. Also the Organized Research rate will remain at 52.0% for the first two years, with stepped increases to 53.0% in FY2018 and 54.0% in FY2019.

### 3. CATALOG OF FEDERAL DOMESTIC ASSISTANCE (CFDA) NUMBERS

Some of the program, grants and/or other awards included in the Schedule are contracts, which do not report CFDA numbers. The expenditure amounts related to these programs, grants, and/or other awards have been reported, generally, at an agency level.

According to the June 2015 publication by the U.S. Office of Management and Budget Compliance Supplement Part 5, all grant awards issued by the National Science Foundation (NSF) and the National Institutes of Health (NIH) meet the definition of "Research and Development". As a result of this, the Schedule of Expenditures of Federal Awards for the year ended June 30, 2015 includes \$17.8 million in reported expenditures under the Research and Development Cluster where in previous years these expenditures would have been reported as \$11.3 million and \$6.5 million within the Non Clustered Programs of Instruction and Public Service, respectively. This change did not have an impact on total federal expenditures in the current or prior years.

#### 4. FEDERAL PERKINS LOAN PROGRAM

Amounts reported in the Schedule for the Federal Perkins Loan Program represent administrative and collection costs. Outstanding loans at June 30, 2015, under the Federal Perkins Loan Program were \$254,172,615. New Federal Perkins Loans of \$6,139,869 were advanced to students during the year ended June 30, 2015.

#### 5. NEGATIVE EXPENDITURES

Within the Schedule there are negative amounts which represent a credit or adjustment, net the expenditures incurred.

#### 6. RECONCILIATION OF FEDERAL AWARDS TO THE CONSOLIDATED FINANCIAL STATEMENTS

The following schedule is a reconciliation of total expenditures as shown on the Schedule to the operating expenses reported on the consolidated statement of revenues, expenses and changes in net position for the year ended June 30, 2015, which is included as part of the University's consolidated financial statements.

Schedule of expenditures of federal awards	\$ 1,035,967,705
Federal loan program amounts provided by Student Finance Office not directly included on the consolidated financial statements (Federal Direct Loan Advances-CFDA 84.268, Federal Work Study-CFDA 84.033, Pell Grant Program-CFDA 84.063, SEOG-CFDA 84.007, TEACH-CFDA 84.379 & Health Professions Student Loans-CFDA 93.342)	(447,695,379)
Expenditures of federal program dollars included in consolidated operating expenses	51,477,633
Less expenditures not included on the consolidated statement of revenues, expenses, and changes in net position (equipment, buildings, and structures)	(120,835,583)
Difference of prior-year overdrafts added back and current-year overdrafts subtracted out	478,251
Adjustments for expenditures of federal program dollars included in consolidated operating expenses and/or expenditures of non-federal program dollars included in consolidated operating expenses	(23,587)
Less cash to accrual basis adjusting journal entries (year-end close)	(8,256,008)
Uncategorized difference	15,156
Less federal pass-through grants not on the consolidated statement of revenues, expenses, and change in net position	<u>(98,812,117)</u>
Subtotal A-133 expense adjustments in sponsored federal funds in general ledger	(623,651,634)
Expenditures of non-federal dollars included in consolidated operating expenses	3,093,673,609
Internal Sales included in consolidated operating expenses	(214,824,095)
Depreciation included in consolidated operating expenses	218,564,987
Non-depreciation and internal sales accrual basis adjusting journal entries (year-end close)	<u>(254,994,775)</u>
Total operating expenses as shown on the consolidated statement of revenues, expenses and changes in net position for the year ended June 30, 2015	<u><u>\$ 3,254,735,797</u></u>

# UNIVERSITY OF MINNESOTA

## SCHEDULE OF FINDINGS AND QUESTIONED COSTS FOR THE YEAR ENDED JUNE 30, 2015

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### SECTION I—SUMMARY OF AUDITORS' RESULTS

#### Financial Statements

- A. Type of auditors' report issued: Unmodified
- B. Internal control over financial reporting:
- Material weakness(es) identified?  yes  no
  - Significant deficiency(ies) identified?  yes  none reported
- C. Noncompliance material to financial statements noted?  yes  no

#### Federal Awards

- D. Internal control over major programs:
- Material weakness(es) identified?  yes  no
  - Significant deficiency(ies) identified?  yes  none reported
- E. Type of auditors' report issued on compliance for major programs: Unmodified
- F. Any audit findings disclosed that are required to be reported in accordance with Section 510(a) of OMB Circular A-133?  yes  no
- G. Identification of major programs:

CFDA Number	Name of Federal Program or Cluster
Various	Research and Development Cluster*
Various	Student Financial Assistance Cluster*
84.373	Special Education Technical Assistance on State Data Collection

\*This program has various and unidentified CFDA numbers.

- H. Dollar threshold used to distinguish between Type A and Type B programs: \$3,107,903 for Type A Programs  
\$310,790 for Type B Programs
- I. Auditee qualified as low-risk auditee?  yes  no

### SECTION II—FINANCIAL STATEMENT FINDINGS

No financial statement matters are reportable matters.

### **SECTION III—FEDERAL AWARD FINDINGS AND QUESTIONED COSTS**

No matters are reportable matters.